



Relative minimality and co-minimality of subgroups in topological groups

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ABSTRACT

We study two properties of subgroups of a topological group (relative minimality and co-minimality), that generalize minimality. Many applications, mostly related to semidirect products and generalized Heisenberg groups are given.

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1. Introduction

A Hausdorff topological group G is *minimal* (introduced by Stephenson [22] and Doichinov [10]) if G does not admit a strictly coarser Hausdorff group topology. Totally minimal groups are defined in [7] as those Hausdorff groups G such that all Hausdorff quotients are minimal (later these groups were studied also by Schwanengel [21] under the name *q-minimal groups*).

Some natural examples of totally minimal groups:

- Compact Hausdorff topological groups.
- Symmetric topological groups S_X (Gaughan).
- \mathbb{Z} with the p -adic topology (Doichinov, Prodanov).
- The full unitary group $U(H)$ (Stoyanov).
- Every connected semisimple Lie group with finite center, e.g., $SL_n(\mathbb{R})$ (Goto).
- $\text{Homeo}(\{0, 1\}^{\aleph_0})$ and $\text{Homeo}[0, 1]$ (Gamarnik).

There are however many minimal groups which are not totally minimal. For example, the semidirect product $\mathbb{R} \rtimes \mathbb{R}_+$ (Dierolf and Schwanengel [3]). More generally, by [13] the same is true also for $\mathbb{R}^n \rtimes \mathbb{R}_+$, where $n \in \mathbb{N}$. Generalized Heisenberg groups (see Section 2 and also [12,14]) provide many examples of this kind. For instance, if G is a locally compact abelian group with the canonical duality mapping $w: G \times G^* \rightarrow \mathbb{T}$ then the corresponding “generalized Heisenberg group” $M(w) = (\mathbb{T} \times G) \rtimes G^*$ is minimal. For more information see [8,4,12,13].

Pestov and Morris [17] introduced some time ago *locally minimal* groups (cf. Definition 3.14) as a common generalization of locally compact groups and minimal groups (see also [6], where a joint generalization of total minimality and local compactness is proposed and properties of the locally minimal groups are studied in the spirit of the general problem

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posed in [2]). *Relative minimality* was introduced recently in [14]. In the present paper we investigate this concept in more details and introduce *co-minimal subgroups* as follows:

Definition 1.1.

1. Let X be a subset of a Hausdorff topological group (G, τ) . We say that X is relatively minimal in G if every coarser Hausdorff group topology $\sigma \subseteq \tau$ of G induces on X the original topology. That is, $\sigma \upharpoonright_X = \tau \upharpoonright_X$.
2. Let X be a topological subgroup of a Hausdorff topological group (G, τ) . We say that X is co-minimal in G if every coarser Hausdorff group topology $\sigma \subseteq \tau$ of G induces on the coset space G/X the original topology. That is, $\sigma/X = \tau/X$.

Obviously, any subgroup of a minimal group is both relatively minimal and co-minimal.

A good motivation to introduce relatively minimal subgroups can be found in [14], where a solution to a problem of Shtern was found by essentially using this concept. In particular, the following result is crucial in [14].

Theorem 1.2. ([14]) *The subgroup X is relatively minimal in the Heisenberg group*

$$H(X) = (\mathbb{R} \times X) \rtimes X^*$$

for every normed space X .

For more details about generalized Heisenberg groups see Section 2. Our Theorem 5.1 below generalizes and strengthens Theorem 1.2. In particular, we obtain that the subgroup \mathbb{R} is co-minimal and X and X^* are relatively minimal in the Heisenberg group $H(X)$ for every normed space X . Theorem 5.1 and its corollaries unify also some results of [12]. We answer also two questions posed in [4].

Some interesting applications of generalized Heisenberg groups can be found in the recent papers [9,19,5].

Recently the second named author proved [15] the following theorem answering long standing questions of Arhangel'skij and Pestov:

Theorem 1.3. ([15]) *Every Hausdorff topological group X is a group retract of a minimal group G .*

It follows that every group X is a *closed* relatively minimal subgroup and a co-minimal subgroup in some group G .

In Section 3 we introduce a class of closed subgroups that allows for an easier internal description of the co-minimal subgroups (Definition 3.8). This approach gives the possibility to connect co-minimality of open subgroups to local minimality (Proposition 3.15). In Example 3.17 we describe the co-minimal subgroups of an arbitrary infinite cyclic topological group. In Section 4 relative minimality and co-minimality are used to describe minimality of semidirect products.

For a dense subgroup X of G , it was proved by Stephenson that minimality of X is equivalent to minimality of G and essentiality of X in G (see Corollary 6.4). Nevertheless, the very natural weaker condition only G to be minimal seems also worth to be considered (see Lemma 3.4(5)). In the abelian context, it gives the following immediate corollary of Prodanov–Stoyanov’s theorem on precompactness of the minimal abelian groups: *for an abelian topological group X the following are equivalent:*

- (i) X is dense and relatively minimal in some group G ;
- (ii) X is relatively minimal in its completion;
- (iii) X is precompact (cf. Corollary 6.7).

In the case the pair X, G is chosen with X closed and central in G things change completely. Now relative minimality of X in G is equivalent to minimality of X . This need not be true if X is not central (for example, \mathbb{R} is relatively minimal in the Heisenberg group (by Theorem 1.2), but \mathbb{R} cannot be relatively minimal in any topological abelian group, see Corollary 6.8). Section 6 contains also a criterion for co-minimality of closed subgroups of precompact groups (Theorem 6.9).

2. Generalized Heisenberg groups

Recall that the classical real 3-dimensional Heisenberg group can be defined as a linear group of the following matrices:

$$\begin{pmatrix} 1 & a & c \\ 0 & 1 & b \\ 0 & 0 & 1 \end{pmatrix}$$

where $a, b, c \in \mathbb{R}$. This group is isomorphic to the semidirect product $(\mathbb{R} \times \mathbb{R}) \rtimes \mathbb{R}$ of $\mathbb{R} \times \mathbb{R}$ and \mathbb{R} .

We need a natural generalization (see, for example, [18,16,12,14]) which is based on biadditive mappings. Let E, F, A be abelian groups. A map $w : E \times F \rightarrow A$ is said to be *biadditive* if the induced mappings

$$w_x : F \rightarrow A, \quad w_f : E \rightarrow A, \quad w_x(f) := w(x, f) =: w_f(x)$$

are homomorphisms for all $x \in E$ and $f \in F$. We say that w is *separated* if the induced homomorphisms separate points. That is, for every non-zero $x_0 \in E$, $f_0 \in F$ there exist $f \in F$, $x \in E$ such that $f(x_0) \neq 0_A$, $f_0(x) \neq 0_A$, where 0_A is the zero element of A .

Definition 2.1. Let E , F and A be Hausdorff abelian topological groups and $w : E \times F \rightarrow A$ be a continuous biadditive mapping. Define the induced action of F on $A \times E$ by

$$w^\nabla : F \times (A \times E) \rightarrow (A \times E), \quad w^\nabla(f, (a, x)) = (a + f(x), x).$$

Every translation under this action is an automorphism of the group $A \times E$. Denote by

$$H(w) = (A \times E) \rtimes F$$

the semidirect product of F and the group $A \times E$. The resulting group, as a topological space, is the product $A \times E \times F$. This product topology will be denoted by γ . The group operation is defined by the following rule: for a pair

$$u_1 = (a_1, x_1, f_1), \quad u_2 = (a_2, x_2, f_2)$$

define

$$u_1 \cdot u_2 = (a_1 + a_2 + f_1(x_2), x_1 + x_2, f_1 + f_2)$$

where $f_1(x_2) = w(x_2, f_1)$.

Then $H(w)$ becomes a two-step nilpotent Hausdorff topological group. We call it the *generalized Heisenberg group* induced by w .

Intuitively we can describe the group $H(w)$ in the matrix form

$$\begin{pmatrix} 1 & F & A \\ 0 & 1 & E \\ 0 & 0 & 1 \end{pmatrix}.$$

Elementary computations for the commutator $[u_1, u_2]$ give

$$[u_1, u_2] = u_1 u_2 u_1^{-1} u_2^{-1} = (f_1(x_2) - f_2(x_1), 0_E, 0_F).$$

Sometimes we will identify E with $\{0_A\} \times E \times \{0_F\}$, F with $\{0_A\} \times \{0_E\} \times F$ and $E \times F$ with $\{0_A\} \times E \times F$.

In the case of a normed space X and the canonical bilinear function $w : X \times X^* \rightarrow \mathbb{R}$ we write $H(X)$ instead of $H(w)$. Clearly, the case of $H(\mathbb{R}^n)$ (induced by the scalar product $w : \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$) gives the classical $(2n + 1)$ -dimensional Heisenberg group.

Definition 2.2. Let (E, σ) , (F, τ) , (A, ν) be abelian Hausdorff groups such that the separated biadditive mapping

$$w : (E, \sigma) \times (F, \tau) \rightarrow (A, \nu) \tag{*}$$

is continuous.

- (a) A triple $(\sigma_1, \tau_1, \nu_1)$ of coarser Hausdorff group topologies $\sigma_1 \subseteq \sigma$, $\tau_1 \subseteq \tau$, $\nu_1 \subseteq \nu$ on E , F and A , respectively, is called *compatible*, if

$$w : (E, \sigma_1) \times (F, \tau_1) \rightarrow (A, \nu_1)$$

is continuous.

- (b) The biadditive mapping $(*)$ is called *strongly minimal* if for every compatible triple $(\sigma_1, \tau_1, \nu_1)$ it follows that $\sigma_1 = \sigma$, $\tau_1 = \tau$.
- (c) ([12]) We say that the biadditive mapping is *minimal* if $\sigma_1 = \sigma$, $\tau_1 = \tau$ holds for every compatible triple (σ_1, τ_1, ν) (with $\nu_1 := \nu$).

Observe that for every compatible triple $(\sigma_1, \tau_1, \nu_1)$ the groups (E, σ_1) and (F, τ_1) admit continuous injective homomorphisms in the powers $(A, \nu_1)^F$ and $(A, \nu_1)^E$, respectively. Therefore, the topologies σ_1 and τ_1 are automatically Hausdorff assuming only that ν_1 on A is Hausdorff.

Note that the multiplication map $\mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$ is minimal but not strongly minimal when \mathbb{Z} carries the discrete topology (see Proposition 2.4(3) for a more general statement).

The next lemma collects some trivial, but useful observations.

Lemma 2.3.

- (1) Every strongly minimal map is minimal.
- (2) Every compatible triple $(\sigma_1, \tau_1, \nu_1)$ of topologies gives rise to the corresponding (product) topology γ_1 on the Heisenberg group $H(w) = (A \times E) \rtimes F$ which is a coarser Hausdorff group topology (that is, $\gamma_1 \subseteq \gamma$).
- (3) If the mapping w is minimal and the group A is minimal then w is strongly minimal.

Now we provide some examples of (strongly) minimal biadditive maps.

Proposition 2.4.

- (1) The canonical biadditive mapping $\Delta : G \times G^* \rightarrow \mathbb{T}$, $\Delta(g, \chi) = \chi(g)$ is strongly minimal for every locally compact abelian group G .
- (2) The canonical bilinear mapping $V \times V^* \rightarrow \mathbb{R}$, $\langle v, f \rangle = f(v)$ is strongly minimal for all normed spaces V (where V and its dual V^* carry usual norm topologies).
- (3) The multiplication map $A \times A \rightarrow A$ is minimal for every Hausdorff topological unital ring A .

Proof. (1) By [12] the semidirect product $(G \times G^*) \rtimes \mathbb{T}$ is a minimal group. Hence w is strongly minimal by Corollary 5.2 below (or, by assertions (2) and (3) of Lemma 2.3).

(2) We prove that the canonical bilinear mapping $V \times V^* \rightarrow \mathbb{R}$ is strongly minimal for every normed space V . Let σ , τ and ν are given topologies on V , V^* and \mathbb{R} . Assume that $(\sigma_1, \tau_1, \nu_1)$ is a compatible triple. That is, $\sigma_1 \subseteq \sigma$, $\tau_1 \subseteq \tau$ and $\nu_1 \subseteq \nu$ are coarser Hausdorff group topologies such that the mapping

$$w : (V, \sigma_1) \times (V^*, \tau_1) \rightarrow (\mathbb{R}, \nu_1), \quad (v, f) \mapsto \langle v, f \rangle = f(v)$$

is continuous. We have to show that necessarily $\sigma_1 = \sigma$ and $\tau_1 = \tau$. Consider two cases:

(a) Suppose that σ_1 is strictly coarser than σ . It suffices to show that for every neighborhood P of zero in (V, σ_1) and every neighborhood of zero Q in (V^*, τ_1) we have $\langle P, Q \rangle = \mathbb{R}$ (this will imply that the mapping is not continuous at $(0, 0)$ since ν_1 is Hausdorff). First note that P necessarily is norm unbounded by [12, Lemma 3.5]. By Hahn–Banach theorem for every $x \in V$ there exists $f \in V^*$ such that $\|f\| = 1$ and $f(x) = \|x\|$. Therefore

$$\langle P, B^* \rangle = \{ \langle v, f \rangle : v \in P, f \in B^* \}$$

is unbounded in \mathbb{R} (where B^* is the unit ball of V^*). Since $f \in B^*$ implies that $cf \in B^*$ for every $0 < c \leq 1$ it follows that in fact $\langle P, B^* \rangle = \mathbb{R}$ and also $\langle P, cB^* \rangle = \mathbb{R}$ for every positive constant $c > 0$. On the other hand, Q contains cB^* for some $c > 0$ (because τ_1 is a coarser topology on V^*). So we obtain that indeed $\langle P, Q \rangle = \mathbb{R}$.

(b) The second case when τ_1 is strictly coarser than τ is very similar (and even easier we do not need even Hahn–Banach theorem).

(3) is trivial. \square

3. Relative minimality and co-minimality

Clearly a topological group G is minimal in the usual sense (see Introduction) iff G is relatively minimal in G iff every subgroup H is relatively minimal in G iff every subgroup H is co-minimal in G iff $\{e_G\}$ is co-minimal in G . As a first step we shall push in appropriate way this obvious observation to arbitrary subgroups of H (see Corollary 3.2). To this end we need some preparation.

3.1. Permanence properties of relatively minimal subgroups

Let H be a subgroup of a topological group (G, γ) . Then $\gamma \upharpoonright_H$ will mean the subspace topology on H . The quotient topology on the left coset space $G/H := \{gH\}_{g \in G}$ will be denoted by γ/H .

The following well-known result is very useful (see for instance [8]).

Lemma 3.1 (Merson’s Lemma). *Let (G, γ) be a (not necessarily Hausdorff) topological group and H be a (not necessarily closed) subgroup of G . Assume that $\gamma_1 \subseteq \gamma$ be a coarser group topology on G such that $\gamma_1 \upharpoonright_H = \gamma \upharpoonright_H$ and $\gamma_1/H = \gamma/H$. Then $\gamma_1 = \gamma$.*

We shall use frequently the following immediate corollaries of Merson’s lemma. The first one is straightforward (it is the promised generalization of the starting observation above):

Corollary 3.2. *A topological group G is minimal if and only if it contains a subgroup H which is both relatively minimal and co-minimal in G .*

Corollary 3.3. *Let (G, γ) be a (not necessarily Hausdorff) topological group and H be a dense subgroup of G . If $\gamma_1 \subseteq \gamma$ is a coarser group topology on G with $\gamma_1 \upharpoonright_H = \gamma \upharpoonright_H$, then $\gamma_1 = \gamma$.*

Proof. Note that both γ_1/H and γ/H are indiscrete (so coincide), as H is dense in both topologies. Now Merson’s lemma applies. \square

We collect here some other useful properties of relative minimality.

Lemma 3.4.

- (1) If G is minimal, then every subset X is relatively minimal in G .
- (2) Any compact subset X is relatively minimal in any Hausdorff group.
- (3) Suppose $H \leq K \leq G$. Then H is relatively minimal in G , whenever
 - K is relatively minimal in G ; or
 - H is relatively minimal in K .
- (4) A subgroup H of a topological group G is relatively minimal in G iff its closure is relatively minimal in G .
- (5) A dense subgroup H of a group G is relatively minimal iff G is minimal.

Proof. (1), (2) and (3) are trivial.

(4) Let H be relatively minimal in (G, γ) . Denote by \bar{H} the corresponding γ -closure of H in G . Assume that $\gamma_1 \subseteq \gamma$ is a coarser Hausdorff group topology on G . By our assumption we have $\gamma \upharpoonright_H = \gamma_1 \upharpoonright_H$. From Corollary 3.3 we get $\gamma \upharpoonright_{\bar{H}} = \gamma_1 \upharpoonright_{\bar{H}}$. The reverse direction follows from item (3).

(5) If H is a dense relatively minimal subgroup in G then by (3), G as the closure of H is relatively minimal in G . So G is minimal. Conversely, if G is minimal, then every subgroup of G is relatively minimal. \square

3.2. Co-minimality and strongly closed subgroups

Here we study permanence properties of co-minimality and its connection to an appropriately defined class of closed subgroups (see Definition 3.8).

Let us start with some basic properties of co-minimality.

Lemma 3.5.

- (1) If $H \leq K \leq G$ and H is co-minimal in G then K is co-minimal in G .
- (2) A subgroup H of a topological group G is co-minimal in G iff its closure is co-minimal in G .
- (3) A dense subgroup K of G is always co-minimal in G .
- (4) If τ' is a finer group topology on (G, τ) , then the co-minimal subgroups of (G, τ') are also co-minimal in (G, τ) as well.

Proof. (1) Take a Hausdorff group topology $\sigma \leq \tau$ on G , where τ is the original topology of G . Then co-minimality of H yields that $\sigma/H = \tau/H$ on G/H . Since G/K is in its turn a quotient of G/H , the equality $\sigma/H = \tau/H$ yields $\sigma/K = \tau/K$.

(2) According to (1) it suffices to show that H is co-minimal in G whenever its closure $N := \bar{H}$ is co-minimal in G . Take any Hausdorff group topology $\sigma \leq \tau$ on G , where τ is the original topology of G . By our hypothesis we get $\sigma/N = \tau/N$ on G/N . To show that $\sigma/H = \tau/H$ let us use the fact that both spaces are homogeneous and $\sigma/H \leq \tau/H$. Thus it suffices to see that if O is a neighborhood of $e = e \cdot H$ in $(G/H, \tau/H)$, then O is a neighborhood of e in $(G/H, \sigma/H)$ as well. Let U be a neighborhood of e in G such that $O = U \cdot H$ and pick a neighborhood V of e in G such that $V^2 \subseteq U$. Then $V \cdot N$ is a neighborhood of $e \cdot N$ in $(G/N, \tau/N)$. As $\sigma/N = \tau/N$, the set $V \cdot N$ is a neighborhood of $e \cdot N$ in $(G/N, \sigma/N)$ as well. Then there exists a neighborhood W of e in (G, σ) such that $W \subseteq W \cdot N \subseteq V \cdot N$. Thus $W \subseteq V \cdot N \subseteq V^2 \cdot H \subseteq U \cdot H$, since H is dense in N . This proves that $W \cdot H \subseteq U \cdot H$. Therefore, $\sigma/H = \tau/H$.

(3) Use (2) and the fact that G is co-minimal in G .

(4) is obvious. \square

Lemmas 3.4 and 3.5 allow us to consider mainly closed subgroups in questions related to co-minimality and relative minimality.

Example 3.6.

- (a) Let X and Y be co-minimal subgroups in G . Then $X \cap Y$ need not be a co-minimal subgroup in G . Indeed take X and Y to be dense subgroups of a non-minimal group G with trivial $X \cap Y$. Then $X \cap Y$ cannot be co-minimal since G is not minimal, while X and Y are co-minimal by item (3) of Lemma 3.5. (For example, let G be a subgroup of the circle group \mathbb{T} generated by two rationally independent irrationals $\alpha, \beta \in \mathbb{T}$. Then the decomposition $G = X \oplus Y$ with $X = \langle \alpha \rangle$, $Y = \langle \beta \rangle$ works.)
- (b) (Groups with many co-minimal subgroups) This can be realized in a trivial way when the group itself is minimal, so all its subgroups are co-minimal. Other source of such groups are groups G with all proper subgroups dense. For example, if τ is the topology on \mathbb{Z} obtained by an arbitrary embedding in \mathbb{T} , then every non-zero subgroup of $G = (\mathbb{Z}, \tau)$ is dense (hence co-minimal) in G , while (0) is not co-minimal. More generally, one can take groups a monothetic group G with connected completion. If C is the dense cyclic subgroup of G , then every non-zero subgroup of C is dense, so co-minimal (both in C and G).

It seems a rather natural question to ask whether co-minimality of a closed normal subgroup H of a group G is related to the minimality of the quotient group G/H . In the sequel we discuss the precise relation between these two properties.

Remark 3.7.

- (a) The quotient G/H w.r.t. a closed normal co-minimal subgroup H need not be minimal. Indeed, take any minimal non-totally minimal group G (one may choose simply $\mathbb{R} \rtimes \mathbb{R}_+$). Any closed normal subgroup H witnessing non-total minimality of G is co-minimal, but G/H fails to be minimal.
- (b) Theorem 3.10 and Example 3.17 give a large supply of closed (normal) subgroups H of finite index that are not co-minimal. This shows, in particular, that the minimality of G/H alone (in the specific case G/H is even finite) does not guarantee co-minimality of H in G .

The notion of co-minimality contains an intrinsic difficulty related to the recourse to *quotients*, i.e., constructions leading out of the group. In order to understand better this property, we consider now a related property that allows one to remain in the group itself (it has also a natural connection to minimality). This new property will help us to describe properly the relation between co-minimality of a subgroup H of a group G and the minimality of the quotient group G/H (see item (b) of the above remark).

Definition 3.8. A subgroup H of a Hausdorff topological group (G, τ) is called *strongly closed* (resp., *strongly open*), if H is σ -closed (resp., σ -open) for every Hausdorff group topology $\sigma \subseteq \tau$ on G .

Example 3.9.

- (a) Every compact subgroup is strongly closed.
- (b) According to Markov’s terminology, a subgroup H of a group G is *unconditionally closed*, if H is closed in any Hausdorff group topology on G (e.g., the center $Z(G)$, or the subgroups of the form $G[m] = \{x \in G : mx = 0\}$ of an abelian group G , where $m \in \mathbb{N}$). Obviously every unconditionally closed subgroup is strongly closed in any Hausdorff group topology.

Theorem 3.10. Let H be a closed subgroup of a Hausdorff topological group (G, τ) .

- (a) If H is co-minimal, then H is strongly closed.
- (b) If H is co-minimal and open, then H is strongly open.
- (c) If H is a strongly closed normal subgroup of G and G/H is minimal, then H is co-minimal.

Proof. (a) To check H is strongly closed consider a Hausdorff group topology $\sigma \subseteq \tau$ on G . Then $\sigma/H = \tau/H$. Since H is τ -closed, τ/H is Hausdorff. This yields that also σ/H is Hausdorff. This implies that H is σ -closed.

(b) Assume now that N is co-minimal and τ -open in G . In other words $(G/H, \tau/H)$ is discrete. Then $(G/H, \sigma/H)$ is discrete as well, so H is σ -open.

(c) If $\sigma \subseteq \tau$ is a Hausdorff group topology, then H is σ -closed by hypothesis. Hence σ/H is a Hausdorff group topology on G/H coarser than τ/H . Now the minimality of τ/H yields $\sigma/H = \tau/H$. \square

Remark 3.11.

- (a) Item (a) in Theorem 3.10 cannot be inverted, i.e., strongly closed normal subgroups need not be co-minimal.
- (b) Item (c) cannot be inverted either. There exists a minimal group G , so that the center $H = Z(G)$ is minimal and co-minimal, yet G/H is not minimal. (Take the generalized Heisenberg group $H(w)$ obtained from the topological ring (\mathbb{Z}, τ_p) and the multiplication $w : \mathbb{Z} \times \mathbb{Z} \rightarrow \mathbb{Z}$.)

Corollary 3.12. If H is a closed normal subgroup of finite index of a topological group G , then H is co-minimal iff H is strongly closed.

Remark 3.13. We do not know whether every strongly closed subgroup of a topological abelian group has finite index (so is automatically strongly open). A counter-example in the non-abelian case is given below (Example 4.9).

Definition 3.14. A topological group (G, τ) is *locally minimal* if there exists a neighborhood V of e such that whenever $\sigma \subseteq \tau$ is a Hausdorff group topology on G such that V is a σ -neighborhood of e , then $\sigma = \tau$. To underline that the neighborhood V witnesses local minimality for (G, τ) , we say sometimes (G, τ) is *V-locally minimal* ([1]).

The following general properties connect co-minimality of open subgroups to local minimality:

Proposition 3.15. Let H be an open subgroup of a topological group G . Then the following are equivalent:

- (a) H is co-minimal and G is H -locally minimal;
- (b) H is strongly open and G is H -locally minimal;
- (c) G is minimal.

Proof. The implication (a) \rightarrow (b) follows from Theorem 3.10. To prove the implication (b) \rightarrow (c) assume H is strongly open and G is H -locally minimal. Assume $\sigma \leq \tau$ is a Hausdorff group topology, where τ is the original topology of G . Then H is σ -open. Now the H -local minimality of (G, τ) implies $\sigma = \tau$. Finally, the minimality of G implies that H is co-minimal and G is H -locally minimal. This proves (c) \rightarrow (a). \square

Here is a corollary of the proposition.

Corollary 3.16. *An open subgroup H of a locally compact group G is co-minimal iff G is minimal.*

Proof. Let U be a compact neighborhood of e_G in G contained in H . Then G is U -locally minimal [6], so also H -locally minimal. Now the above proposition applies. \square

One can put it also in this way: a locally compact group is minimal iff it admits an open co-minimal subgroup.

For every group G one has the non-empty family $\mathcal{C}(G)$ of subgroups that are co-minimal w.r.t. G . This family is up-ward closed and contains the family $\mathcal{D}(G)$ of all dense subgroups of G . Clearly, G is minimal iff $\mathcal{C}(G)$ is the family of all subgroups of G (iff $\mathcal{C}(G)$ contains some finite subgroup of G). For the sake of simplicity we consider also the family $\mathcal{C}_c(G)$ of all closed co-minimal subgroups of G .

Now we provide a large supply of non-co-minimal subgroups of \mathbb{Z} in the next example.

Example 3.17. We describe here the closed co-minimal subgroups in a non-discrete Hausdorff group topology τ on \mathbb{Z} . To this end we need some invariants. For $p \in P$ let τ_p denote the p -adic topology, let $\text{supp}(\tau) = \{p \in P: p\mathbb{Z} \in \tau\}$ and $\text{supp}_\infty(\tau) = \{p \in P: \tau_p \leq \tau\}$. Let $o(\mathbb{Z}, \tau)$ be the intersection of all τ -open subgroups, and let $o_s(\mathbb{Z}, \tau)$ be the intersection of all strongly τ -open subgroups. Then $o(\mathbb{Z}, \tau) \leq o_s(\mathbb{Z}, \tau)$.

- (a) $o_s(\mathbb{Z}, \tau) \neq 0$. Clearly, this occurs iff there are only finitely many strongly τ -open (i.e., co-minimal) subgroups. In this case there exists a smallest strongly τ -open subgroup $m\mathbb{Z} = o_s(\mathbb{Z}, \tau)$. All subgroups $d\mathbb{Z} \supseteq m\mathbb{Z}$ (with $d|m$) are closed and co-minimal.
- (b) $o_s(\mathbb{Z}, \tau) = 0$. Now there are infinitely many strongly τ -open subgroups. They form a base of neighborhoods of 0 in a linear Hausdorff group topology $\tau_s \subseteq \tau$. By item (4) of Lemma 3.5, $\mathcal{C}_c(\mathbb{Z}, \tau) \subseteq \mathcal{C}_c(\mathbb{Z}, \tau_s)$. Actually, the strongly τ -open subgroup are precisely the τ_s -open subgroups of \mathbb{Z} . We prove that $\text{supp}_\infty(\tau)$ consists of a single prime p and $\mathcal{C}_c(\mathbb{Z}, \tau) = \{p^n\mathbb{Z}: n \in \omega\}$. Let us consider the following cases, of which the first two cannot occur:
 - (i) $\text{supp}(\tau_s)$ is infinite. Now there are infinitely many primes (p_n) such that $p_n\mathbb{Z} \in \tau_s$. To get a contradiction fix p_0 and take the linear topology σ having as a prebase of neighborhoods of 0 the subgroups $\{p_n\mathbb{Z}: n > 0\}$. Then $\sigma \subseteq \tau$ is Hausdorff and $p_0\mathbb{Z}$ is σ -dense.
 - (ii) $|\text{supp}_\infty(\tau_s)| > 1$. For $p \in \text{supp}(\tau_s)$, $p\mathbb{Z}$ is τ_s -open and τ_q -dense for any $q \in \text{supp}_\infty(\tau_s)$ and $q \neq p$. So $p\mathbb{Z} \notin \mathcal{C}_c(\mathbb{Z}, \tau)$, fails to be strongly closed, a contradiction.
 - (iii) Now we are left with $|\text{supp}(\tau_s)| < \infty$ and $|\text{supp}_\infty(\tau_s)| \leq 1$. As τ_s is Hausdorff, $|\text{supp}(\tau_s)| < \infty$ yields $|\text{supp}_\infty(\tau_s)| = 1$, i.e., $\text{supp}_\infty(\tau_s) = \{p\}$ is a singleton. Now every Hausdorff group topology $\sigma \subseteq \tau$ is necessarily linear and consequently contains τ_p . Thus for every $q \neq p$ the subgroup $q\mathbb{Z}$ fails to be strongly open. By the definition of τ_s , this yields $\text{supp}(\tau) = \text{supp}_\infty(\tau) = \{p\}$ i.e., $\tau_s = \tau_p$. This proves $\mathcal{C}_c(\mathbb{Z}, \tau) = \{p^n\mathbb{Z}: n \in \omega\}$.

We do not know whether $m > 1$ may occur in item (a), i.e.,

Question 3.18. Let τ be a non-discrete Hausdorff topology on \mathbb{Z} having only finitely many strongly open subgroups. Is it possible to have more than just one (namely, \mathbb{Z}) strongly open subgroups?

One can show that the answer is negative for maximally almost periodic topologies τ .

4. Relative minimality and co-minimality in products

From the very first stages of the development of the theory, minimality has been involved with products. More specifically, the fact that a direct product $G = H \times N$ fails to be minimal, even if both summands H and N are minimal (take for instance \mathbb{Z} in 2-adic topology both as H and K [10]). Note that the quotient $N \cong G/H$ is certainly minimal, while H is relatively minimal (being minimal itself), so non-minimality of the group G yields that H cannot be co-minimal (see Corollary 3.2). By Theorem 3.10 this means that H is not even strongly closed. In other words, Theorem 3.10 provides a

useful tool to check when a direct product $G = H \times N$ of minimal groups H, N is minimal: namely, iff H is strongly closed (or, equivalently, co-minimal) in G . This property obviously extends to semidirect products.

The goal of this section is to determine a sufficient condition for minimality of a semidirect product $M = X \rtimes G$.

Definition 4.1. Let (X, τ) and (G, σ) be Hausdorff topological groups and $\alpha : G \times X \rightarrow X$ be a continuous action.

- (1) X is a G -group if every g -translation $\alpha^g : X \rightarrow X$ is a group automorphism of X .
- (2) X is a G -minimal group if X is a G -group and there is no strictly coarser Hausdorff group topology $\tau' \subsetneq \tau$ on X such that α remains continuous with respect to the triple (σ, τ', τ') of topologies (see [20]).
- (3) The action α is *topologically exact* (*t-exact*, for short) if there is no strictly coarser (not necessarily Hausdorff) group topology $\sigma' \subsetneq \sigma$ on G such that α is (σ', τ, τ) -continuous (see [13,15]).

Remark 4.2.

- (a) Sometimes, we need to consider continuous actions $\alpha : G \times X \rightarrow X$, where G need not be Hausdorff, while X will always be assumed Hausdorff (see item (2) of the above definition). In such a case, the subgroup $\{\overline{e_G}\}$ trivially acts on X . In particular, the only continuous action of an indiscrete group G is the trivial action.
- (b) Note that if X is a locally compact Hausdorff group and G is a subgroup of $Aut(X)$ endowed with the standard *Birkhoff topology* (see [8,12]) then the corresponding action is t-exact.
- (c) If G is a simple minimal group, then the action is t-exact iff it is non-trivial. Indeed, obviously every t-exact action, with a non-trivial group G , is non-trivial. Conversely, the assumption that the action is not t-exact, along with the simplicity of G , would imply that G equipped with the indiscrete topology (the only strictly coarser group topology on G) is continuous. Then (a) would imply that the action is trivial.
- (d) It is easy to see that every t-exact action is *algebraically exact* (that is, for every $g \neq e$ in G there exists $x \in X$ such that $gx \neq x$).
- (e) If a topological semidirect product $(M, \gamma) := (X, \tau) \rtimes_{\alpha} (G, \sigma)$ is minimal and $\alpha : G \times X \rightarrow X$ is algebraically exact then α is necessarily t-exact. Indeed, if G admits a strictly coarser group topology σ_1 such that the action remains continuous then this topology must be Hausdorff because the action is algebraically exact and X is Hausdorff. Then the topological semidirect product $(M, \gamma_1) := (X, \tau) \rtimes_{\alpha} (G, \sigma_1)$ is a Hausdorff group, violating the minimality of the given group (M, γ) .

Proposition 4.3. Let E, F and A be Hausdorff abelian topological groups and $w : E \times F \rightarrow A$ be a biadditive mapping.

(1) *The induced action*

$$w^{\nabla} : F \times (A \times E) \rightarrow (A \times E), \quad w^{\nabla}(f, (a, x)) = (a + f(x), x)$$

is continuous iff w is continuous.

(2) If w is a minimal biadditive mapping then the action w^{∇} is t-exact.

Proof. See [12, Lemma 2.2]. \square

In the next proposition we characterize G -minimality in terms of relative minimality (this will be a necessary condition for minimality of $M = X \rtimes G$).

Proposition 4.4. Let (G, σ) be a Hausdorff group and let (X, τ) be a Hausdorff G -group. The following are equivalent:

- (1) X is G -minimal.
- (2) X is relatively minimal in the topological semidirect product $M := (X \rtimes G, \gamma)$.

Proof. (1) \Rightarrow (2): Let $\gamma_1 \subset \gamma$ be a coarser Hausdorff group topology on M . Since $M := (X \rtimes_{\alpha} G, \gamma_1)$ is a topological group the conjugation map

$$(M, \gamma_1) \times (M, \gamma_1) \rightarrow (M, \gamma_1), \quad (a, b) \rightarrow aba^{-1}$$

is continuous. Then its restriction

$$(G, \gamma_1|_G) \times (X, \gamma_1|_X) \rightarrow (X, \gamma_1|_X), \quad (g, x) \rightarrow g(x) = gxg^{-1}$$

is also continuous. Since $\gamma_1|_G \subset \gamma|_G$ it follows that the action of the given group $(G, \gamma|_G)$ on the Hausdorff group $(X, \gamma_1|_X)$ is continuous, too. Since $\gamma_1|_X \subset \gamma|_X$ and X is G -minimal we obtain $\gamma_1|_X = \gamma|_X$.

(2) \Rightarrow (1): Let X be not G -minimal. Then there exists a strictly coarser Hausdorff group topology $\tau_1 \subset \tau$ on X such that the action $G \times (X, \tau_1) \rightarrow (X, \tau_1)$ remains continuous. Consider the corresponding semidirect product $(M, \gamma_1) := (X, \tau_1) \rtimes G$. Then γ_1 is a coarser Hausdorff group topology on $(M, \gamma) := (X, \tau) \rtimes G$ such that $\gamma_1|_X$ is strictly coarser than $\gamma|_X$. This means that X is not relatively minimal in M . \square

Corollary 4.5. For every normed space X the subgroup X is relatively minimal in the semidirect product $X \rtimes GL(X)$, where $GL(X)$ is endowed with the uniform operator topology.

Proof. Indeed as it follows by [13, Theorem 2.2], X is $GL(X)$ -minimal. \square

According to Proposition 4.4, G -minimality of X in the next theorem is a necessary condition for the minimality of semidirect product $X \rtimes G$. Easy examples (of direct products) show that t -exactness is not a necessary condition. At the same time t -exactness also becomes a necessary condition provided that the corresponding action is algebraically exact (see Remark 4.2(e)).

Theorem 4.6. Let (G, σ) be a Hausdorff group and let (X, τ) be a Hausdorff abelian G -minimal G -group. If the given action $\alpha : G \times X \rightarrow X$ is t -exact then the topological semidirect product $M := (X \rtimes G, \gamma)$ is minimal.

Proof. By Corollary 3.2 it suffices to prove that X is both relatively minimal and co-minimal in M . Let $\gamma_1 \subseteq \gamma$ be a coarser Hausdorff group topology on $X \rtimes G$. First we show that the action

$$\alpha : (G, \gamma_1/X) \times (X, \gamma_1 \upharpoonright_X) \rightarrow (X, \gamma_1 \upharpoonright_X)$$

is continuous. This can be derived by [12, Proposition 2.6]; we give a more direct proof here. Each g -transition $(X, \gamma_1 \upharpoonright_X) \rightarrow (X, \gamma_1 \upharpoonright_X)$ is continuous (because it is a restriction of a continuous g -transition $(M, \gamma_1) \rightarrow (M, \gamma_1)$ for every $g \in G$). Therefore it suffices to show that α is continuous at (e_G, y) for every $y \in X$. Fix an arbitrary $y \in X$ and a $\gamma_1 \upharpoonright_X$ -neighborhood $O(y)$ of y . Choose a neighborhood U_1 of $y := (e_G, y)$ in (M, γ_1) such that $U_1 \cap X \subseteq O$. By the continuity of the map

$$(M, \gamma_1) \times (M, \gamma_1) \rightarrow (M, \gamma_1), \quad (a, b) \mapsto aba^{-1}$$

there exist neighborhoods V of the identity in (M, γ_1) and $U_2(y)$ of y in (M, γ_1) such that

$$vU_2v^{-1} \subseteq U_1$$

for every $v \in V$. We claim that

$$\alpha(g, z) := gz \in O \quad \forall g \in pr(V) \quad \forall z \in U_2 \cap X,$$

where $pr : M \rightarrow G$ is the natural projection. Indeed, if $v = (x, g) \in V$ and $z \in U_2 \cap X$ then $vzv^{-1} \in U_1$. From the normality of the subgroup X in M we have in fact $vzv^{-1} \in U_1 \cap X \subseteq O$. Since X is abelian we get $vzv^{-1} = x\alpha(g, z)x^{-1} = gz$. Therefore, $gz \in O$ for every $z \in U_2 \cap X$ and $g \in pr(V)$. This proves the $(\gamma_1/X, \gamma_1 \upharpoonright_X, \gamma_1 \upharpoonright_X)$ -continuity of α because $pr(V)$ is a γ_1/X -neighborhood of the identity in G and $U_2 \cap X$ is a neighborhood of y in $(X, \gamma_1 \upharpoonright_X)$.

By Proposition 4.4 we know that X is relatively minimal in M . Hence $\gamma_1 \upharpoonright_X = \gamma \upharpoonright_X$. We obtain that α is $(\gamma_1/X, \gamma \upharpoonright_X, \gamma \upharpoonright_X)$ -continuous. On the other hand, the action of G on (X, τ) is t -exact (Definition 4.1). Therefore, $\gamma_1/X = \sigma = \gamma/X$. This means that X is also a co-minimal subgroup in (M, γ) . By Corollary 3.2 we can conclude that (M, γ) is minimal. \square

Question 4.7. Is it true that every normed space X is co-minimal in $X \rtimes GL(X)$? What if X is a Hilbert space?

Observe that it is equivalent to replace “ X is co-minimal in $X \rtimes GL(X)$ ” by “ $X \rtimes GL(X)$ is minimal” or by “the action of $GL(X)$ on X is t -exact” (see Theorem 4.6 and Remark 4.2(e)).

Example 4.8. Let X be an abelian group and let $\alpha : \mathbb{Z}_2 \times X \rightarrow X$ be the action defined by $\alpha(\rho, x) = -x$, where ρ is the non-trivial element of \mathbb{Z}_2 .

- (a) This action is trivial iff X is a Boolean group (i.e., a group of exponent 2).
- (b) For every Hausdorff group topology τ on X , the action is continuous whenever \mathbb{Z}_2 carries the discrete topology. In such a case X is a \mathbb{Z}_2 -minimal group iff the topological group (X, τ) is minimal.

In particular, the semidirect product $X \rtimes \mathbb{Z}_2$ is minimal whenever (X, τ) is a minimal abelian group (if the action is t -exact, one applies the above theorem, otherwise one uses the fact that a direct product of a minimal group and a compact group is minimal [10]).

According to [11, Example 10] there exists a (totally) minimal precompact non-abelian group X such that a certain semidirect product $X \rtimes \mathbb{Z}_2$ with the two-element cyclic group \mathbb{Z}_2 is not minimal. Then the given action of \mathbb{Z}_2 on X is necessarily non-trivial, as the direct product of a minimal group with a compact group (in the given case \mathbb{Z}_2) is always minimal [10]. According to Remark 4.2(b) the action is t -exact. Since X is clearly also \mathbb{Z}_2 -minimal, this example demonstrates that Theorem 4.6 is not true in general for non-abelian X .

Example 4.9. Let D be an infinite discrete group and let G be the wreath products of a compact group K with H , i.e., $D \ltimes K^D$, where the action of D on the compact group K^D is given by the coordinatewise shift. It was proved by Schwanengel [21] that G is a locally compact minimal group (see also [8, 7.2.7]). Clearly the open subgroup $H = K^D$ is co-minimal, so strongly open (by Theorem 3.10(b)), while $G/H \cong D$ is infinite.

5. Relative minimality and co-minimality in Heisenberg type groups

Theorem 5.1. Let $w : (E, \sigma) \times (F, \tau) \rightarrow (A, \nu)$ be a strongly minimal biadditive mapping. Then:

- (1) $A, A \times E$ and $A \times F$ are co-minimal subgroups of the Heisenberg group $H(w)$.
- (2) $E \times F$ is a relatively minimal subset in $H(w) = (A \times E) \rtimes F$.
- (3) The subgroups E and F are relatively minimal in $H(w)$.

Proof. Denote by γ the given product topology on $H(w)$. Let $\gamma_1 \subseteq \gamma$ be a coarser Hausdorff group topology on $H(w)$.

(1) We have to check that $\gamma_1/A \times E = \gamma/A \times E, \gamma_1/A \times F = \gamma/A \times F$ and $\gamma_1/A = \gamma/A$.

First we present the arguments for the first case. It is sufficient to establish the continuity of the map

$$w : (E, \gamma_1 \upharpoonright_E) \times (F, \gamma_1/A \times E) \rightarrow (A, \gamma_1 \upharpoonright_A). \tag{*}$$

Indeed, if (*) is continuous we can assume that $(F, \gamma_1/A \times E)$ necessarily is a Hausdorff group (because $(A, \gamma_1 \upharpoonright_A)$ is a Hausdorff topological group and $w : E \times F \rightarrow A$ is separated). Therefore the triple $(\gamma_1 \upharpoonright_E, \gamma_1/A \times E, \gamma_1 \upharpoonright_A)$ is compatible. Since the given biadditive mapping is strongly minimal it will follow that the topology $\gamma_1/A \times E$ on F coincides with the given topology $\tau = \gamma/A \times E$.

We prove the continuity of the map (*) at an arbitrary pair $(x_0, f_0) \in E \times F$. Let O be a neighborhood of $f_0(x_0)$ in $(A, \gamma_1 \upharpoonright_A)$. Choose a neighborhood O' of $(f_0(x_0), 0_E, 0_F)$ in $(H(w), \gamma_1)$ such that $O' \cap A = O$. Consider the points $\tilde{x}_0 := (0_A, x_0, 0_F), \tilde{f}_0 := (0_A, 0_E, f_0) \in H(w)$. Observe that the commutator $[\tilde{f}_0, \tilde{x}_0]$ is just $(f_0(x_0), 0_E, 0_F)$. Since $(H(w), \gamma_1)$ is a topological group there exist γ_1 -neighborhoods U and V of \tilde{x}_0 and \tilde{f}_0 respectively such that $[v, u] \in O'$ for every pair $v \in V, u \in U$. In particular, for every $\tilde{y} := (0_A, y, 0_F) \in U \cap E$ and $v := (a, x, f) \in V$ we have $[v, \tilde{y}] = (f(y), 0_E, 0_F) \in O' \cap A = O$. We obtain that $f(y) \in O$ for every $f \in q_F(V)$ and $\tilde{y} \in U \cap E$. This means that we have the continuity of (*) at (f_0, x_0) because $q_F(V)$ is a neighborhood of f_0 in the space $(F, \gamma_1/A \times E)$ and $U \cap E$ is a neighborhood of x_0 in $(E, \gamma_1 \upharpoonright_E)$.

Quite similarly one can prove that the following map is continuous

$$w : (E, \gamma_1/A \times F) \times (F, \gamma_1 \upharpoonright_F) \rightarrow (A, \gamma_1 \upharpoonright_A)$$

which implies that $\gamma_1/A \times F = \gamma/A \times F$. Hence $A \times F$ is co-minimal in $H(w)$.

By the equalities $\gamma_1/A \times E = \gamma/A \times E = \tau$ in F and $\gamma_1/A \times F = \gamma/A \times F = \sigma$ in E it follows that the maps

$$q_E : (H(w), \gamma_1) \rightarrow (E, \sigma), \quad (a, x, f) \mapsto x$$

and

$$q_F : (H(w), \gamma_1) \rightarrow (F, \tau), \quad (a, x, f) \mapsto f$$

are continuous. Then we obtain that

$$q_{E \times F} : (H(w), \gamma_1) \rightarrow E \times F, \quad (a, x, f) \mapsto (x, f)$$

is also continuous, where $E \times F$ is endowed with the product topology induced by the pair of topologies (σ, τ) . This topology coincides with γ/A . Then $\gamma_1/A \supseteq \gamma/A$. Since $\gamma_1 \subseteq \gamma$ we have $\gamma_1/A = \gamma/A$. Thus, A is co-minimal in $H(w)$.

(2) Since A is co-minimal in $H(w)$ we have $\gamma_1/A = \gamma/A$. It follows that the projection

$$q_{E \times F} : (H(w), \gamma_1) \rightarrow (E \times F, \gamma \upharpoonright_{E \times F}), \quad (a, x, f) \mapsto (x, f)$$

is continuous. Since $q_{E \times F}$ is a retraction and $\gamma_1 \subseteq \gamma$ we get $\gamma_1 \upharpoonright_{E \times F} = \gamma \upharpoonright_{E \times F}$.

(3) directly follows from (2) and Lemma 3.4. \square

The next result which strengthens [12, Theorem 2.10] can be derived from the above theorem.

Corollary 5.2. The following conditions are equivalent:

- (1) $H(w)$ is a minimal group.
- (2) A is a minimal group and w is a minimal (equivalently: strongly minimal) biadditive mapping.

Proof. (2) \Rightarrow (1): By Lemma 2.3(3) the map w is strongly minimal. Therefore, A is co-minimal in $(H(w), \gamma)$ by Theorem 5.1. But A is also relatively minimal in $H(w)$ (being a minimal group). Now Corollary 3.2 implies that $H(w)$ is minimal.

(1) \Rightarrow (2): Observe that A is a closed central subgroup of the minimal group $H(w)$, so A is minimal [8]. This can be checked also directly as follows. Assume for a contradiction that $\nu_1 \subsetneq \nu$ is a strictly coarser Hausdorff group topology on A then (τ, σ, ν_1) is a compatible triple. The corresponding Heisenberg group (see Lemma 2.3(2)) has a strictly coarser Hausdorff group topology on $H(w)$. This contradicts the minimality of $H(w)$. Analogously one may check that w is a minimal biadditive mapping. \square

Theorem 5.3. *Let X be a normed space and $H(X) = (\mathbb{R} \times X) \rtimes X^*$ be the corresponding Heisenberg group. Then*

- (1) $X \times X^*$ is a relatively minimal subset in the Heisenberg group $H(X)$.
- (2) $\mathbb{R}, \mathbb{R} \times X$ and $\mathbb{R} \times X^*$ are co-minimal subgroups in $H(X)$.

Proof. Use Proposition 2.4(2) and Theorem 5.1. \square

Note that $H(X)$ itself is not minimal, as its center $Z(H(X)) \cong \mathbb{R}$ is not minimal [22].

Theorem 5.4. *Let G be a locally compact abelian group. Denote by $H(G) = (\mathbb{T} \times G) \rtimes G^*$ the Heisenberg group of the canonical biadditive mapping $\Delta : G \times G^* \rightarrow \mathbb{T}$. Then*

- (1) \mathbb{T} is co-minimal in $H(G)$.
- (2) $H(G)$ is minimal.

Proof. (1) Use Proposition 2.4(1) and Theorem 5.1(1) to show that \mathbb{T} is co-minimal in $H(G)$.

(2) Clearly, \mathbb{T} is also relatively minimal (being compact) in $H(G)$. Therefore $H(G)$ is minimal by Corollary 3.2. \square

The minimal abelian groups are precompact by the well-known theorem of Prodanov and Stoyanov. This motivated the question, raised by the first named author (see [4, Question 3.5]), of whether this remains true for nilpotent groups. The following corollary negatively answers this question.

Corollary 5.5. *There exists a two-step nilpotent non-abelian minimal (locally compact) group which is not precompact.*

Proof. Take for example $G := H(\mathbb{T}) = (\mathbb{T} \times \mathbb{T}) \rtimes \mathbb{Z}$. \square

Corollary 5.6.

- (1) *Let A be a unital topological ring such that A , as a topological group, is minimal. Then the Heisenberg group $H(w) = (A \times A) \rtimes A$ of the multiplication mapping $w : A \times A \rightarrow A$ is minimal.*
- (2) *In particular, the Heisenberg group $H(w) = (\mathbb{Z} \times \mathbb{Z}) \rtimes \mathbb{Z}$ of the mapping $(\mathbb{Z}, \tau_p) \times (\mathbb{Z}, \tau_p) \rightarrow (\mathbb{Z}, \tau_p)$ is a minimal two-step nilpotent precompact group for every p -adic topology τ_p .*

Proof. (1) Use Corollary 5.2 and Proposition 2.4(3).

(2) directly follows from (1). \square

Note that Corollary 5.6(2) has been proved first in [4, Example 3.6] by other arguments.

In [4, Question 3.7] the first named author posed the following.

Question 5.7. Prove or a disprove that a precompact nilpotent group G is minimal if and only if the center $Z(G)$ is minimal.

The following example negatively solves this question.

Example 5.8. There exists a precompact ring topology σ on \mathbb{Z} strictly finer than τ_2 (e.g., the profinite topology of \mathbb{Z}). Then the group $G := ((\mathbb{Z}, \tau_2) \times (\mathbb{Z}, \sigma)) \rtimes (\mathbb{Z}, \sigma)$ is the desired precompact non-minimal group with minimal center $Z(G) = (\mathbb{Z}, \tau_2)$. Indeed, the triple (τ_2, τ_2, τ_2) is compatible and the corresponding Hausdorff group topology is strictly coarser than the original topology generated by the triple (σ, σ, τ_2) .

Remark 5.9. Some interesting applications of generalized Heisenberg groups and in particular of Corollary 5.2 can be found in [9,19,5].

6. Relative minimality criteria

According to a theorem of Uspenskij [23], every topological group X embeds into some minimal group G . Therefore, X is both relatively minimal and co-minimal in G . (Note that the group G with these properties must be necessarily minimal by Corollary 3.2.) This can be substantially improved by using Theorem 1.3:

Proposition 6.1. *Every topological group X is a closed relatively minimal subgroup and a co-minimal subgroup into some group G .*

Proof. By Theorem 1.3 every Hausdorff topological group X is a group retract of a minimal group G . \square

We shall see in Corollary 6.7 that this proposition is no more true if we replace *closed* by *dense*. Indeed, if X is abelian, then the existence of a group G such that X is relatively minimal and dense in G implies that the group X is precompact.

The next corollary introduces the interesting class of groups that have minimal (two-sided) completion.

Corollary 6.2. *For a topological group X The following are equivalent:*

- (i) X is dense and relatively minimal in some group G ;
- (ii) X is relatively minimal in its (two-sided) completion \tilde{X} ;
- (iii) \tilde{X} is minimal.

The precompact groups X have the properties described in the above corollary. We shall see below (Corollary 6.7) that for abelian X these properties imply precompactness. A subgroup H of a topological group G is said to be essential if it non-trivially meets every closed non-trivial subgroup of G .

Lemma 6.3. *Let H be a dense essential subgroup of a topological group (G, \mathcal{T}) . Then:*

- (a) A subgroup X of H is relatively minimal in G if and only if X is relatively minimal in H .
- (b) The following conditions are equivalent:
 - (b₁) H is minimal;
 - (b₂) G is minimal;
 - (b₃) H is relatively minimal in G .

Proof. (a) Let $\mathcal{T}' = \mathcal{T} \upharpoonright_H$. Consider a coarser Hausdorff group topology σ on H . Then the identity $i: (H, \mathcal{T}') \rightarrow (H, \sigma)$ can be extended to a continuous homomorphism $j: (G, \mathcal{T}) \rightarrow H_1$, where H_1 is the two-sided completion of (H, σ) . Then $N = \ker j$ is a closed normal subgroup of G . Since $N \cap H$ is trivial, the essentiality of H yields $N = \{e\}$. Hence j is injective, and consequently the restriction of j to X is open onto the image as X is relatively minimal in G , i.e., $\sigma \upharpoonright_X = \mathcal{T}' \upharpoonright_X$. This proves that X is relatively minimal in H as well.

(b) Obviously, (b₂) \Rightarrow (b₃). By (a) applied to $X = H$, (b₃) \Rightarrow (b₁). Finally, (b₁) \Rightarrow (b₂) by Lemma 3.4(5). \square

As a corollary we obtain an immediate proof of the celebrated minimality criterion due to Stephenson, Banaschewski and Prodanov:

Corollary 6.4 (Minimality criterion). *A dense subgroup H of a topological group G is minimal iff G is minimal and H is essential in G .*

Proof. Suppose that the subgroup H is minimal. Then Lemma 3.4(5) can be applied to H to conclude that G is minimal. We prove that H is essential in G . If N is a closed normal subgroup of G then $N \cap H = \{e\}$ would imply that the restriction of the canonical homomorphism $f: G \rightarrow G/N$ to H is injective, hence it must be an embedding. This is possible only if $N = \{e\}$. Indeed, let $a \in N$. Since H is dense in G there exists a net $h_\alpha \in H$ such that $a = \lim h_\alpha$. Then $e = f(a) = \lim f(h_\alpha)$ in $f(H) \cong H$, so $a = e$ as $f \upharpoonright_H: H \rightarrow f(H)$ is a homeomorphism by the minimality of H .

If G is minimal and H is a dense essential subgroup in G then we obtain the minimality of H by Lemma 6.3(b). \square

The following theorem holds:

Theorem 6.5. *Let X be a central subgroup of a topological group (G, τ) and let H denote the closure of X in G . Then the following are equivalent:*

- (a) X is relatively minimal in G ;
- (b) X is relatively minimal in H ;
- (c) H is a minimal topological group.

Proof. (c) \rightarrow (a) is obvious.

(a) \rightarrow (b) Let $\sigma \leq \tau \upharpoonright_H$ be a Hausdorff group topology on H . We show that there exists a Hausdorff group topology $\Sigma \leq \tau$ on G such that $\Sigma \upharpoonright_H = \sigma$. Then by the relative minimality of X in G we conclude that $\Sigma \upharpoonright_X = \sigma \upharpoonright_X = \tau \upharpoonright_X$. As a typical basic neighborhood of 1 in Σ take a product UV , where U is a τ -neighborhood of 1 in G and V is a σ -neighborhood of 1 in H . Since H is central, one can easily show that this defines a group topology on G . To see that it is Hausdorff take any $x \neq 1$ in G . If $x \notin H$, then by the closedness of H there exists a τ -neighborhood U of 1 such that $x \notin UH \in \Sigma$. If $x \in H$, then find a σ -neighborhood V of 1 in H such that $x \notin VV$. By $\sigma \leq \tau \upharpoonright_H$ there exists a τ -neighborhood U of 1 such that $U \cap H \subseteq V$. Then $x \notin UV$. Finally, $\Sigma \leq \tau$ and $\Sigma \upharpoonright_H = \sigma$ are obvious.

(b) \rightarrow (c) follows from Lemma 3.4(4). \square

As a corollary one gets:

Corollary 6.6. *A closed central subgroup X of a topological group G is relatively minimal in G iff X is minimal. In particular, the center $Z(G)$ of G is relatively minimal iff $Z(G)$ is minimal.*

One cannot omit “central” above. Indeed, \mathbb{R} is relatively minimal in a non-abelian topological group (namely, in the Heisenberg group $H(\mathbb{R}) = (\mathbb{R} \times \mathbb{R}) \rtimes \mathbb{R}$). That group is nilpotent (but cannot be abelian). By the way there is another natural group G containing \mathbb{R} that makes it relatively minimal namely the minimal matrix group first considered by Dierolf and Schwanengel [3] (it is isomorphic to the semidirect product of \mathbb{R} with the multiplicative group $\mathbb{R}_+ := (0, \infty)$). This group is no more nilpotent, but it is meta-abelian (step two soluble). For further comments in this direction see Section 3.2.

Corollary 6.7. *For a topological abelian group X the following are equivalent:*

- (a) X is relatively minimal in some abelian topological group G ;
- (b) X is relatively minimal in some topological group G containing X as a central subgroup;
- (c) X is relatively minimal in some topological group G containing X as a dense subgroup;
- (d) X is precompact.

Corollary 6.8. *A complete abelian group X is relatively minimal in some abelian group G iff X is compact.*

This corollary shows again that the reals \mathbb{R} cannot be relatively minimal in any abelian topological group G .

We give now a complete characterization of the co-minimal subgroups and the strongly closed subgroups of the precompact groups. According to Lemma 3.4, we can limit the criterion for co-minimality to closed subgroups.

Theorem 6.9. *Let G be a precompact group with compact completion K and let H be a closed subgroup of G .*

- (a) H is co-minimal iff $H = G \cap N\overline{H}^K$ for every closed normal subgroup N of K with

$$N \cap G = \{1\}. \quad (1)$$

- (b) H is co-minimal iff $N \leq \overline{H}^K$ for every closed normal subgroup N of K with (1).

Proof. Let us see first the role of the restraint (1) for a closed normal subgroup N of K . Denote by $q : K \rightarrow K/N$ the canonical homomorphism. Then (1) yields that the restriction of q to G is injective so it induces a coarser Hausdorff group topology σ on G . Vice versa, every coarser Hausdorff group topology σ on G can be obtained in this way w.r.t. an appropriate closed normal subgroup N of K with (1). Indeed, it suffices to take the extension to the completions of the continuous identity map $G \rightarrow (G, \sigma)$. If K_1 denotes the completion of (G, σ) , then this extension $h : K \rightarrow K_1$ has dense image, so must be surjective (by the compactness of K). Now $N = \ker h$ is the closed normal subgroup N of K with (1).

Next we need a property, established first by Grant in the case when L is a closed normal subgroup of K . We give a proof for the sake of completeness.

Claim. *Let K be a topological group, let G be a dense subgroup of K and let L be a closed subgroup of K such that $L \cap G$ is dense in L . Then the restriction of the open map $f : K \rightarrow K/L$ to G gives an open map $G \rightarrow f(G)$.*

Proof. Let U be an open neighborhood of e in G . Pick an open symmetric neighborhood V of e in G such that $V^2 \subseteq U$. Then $W = \overline{V}^K$ is a neighborhood of e in K . It suffices to check that $f(W) \cap f(G) \subseteq f(U)$.

Let $f(g) \in f(W) \cap f(G)$, where $g \in G$. Then there exists $w \in W$ such that $f(g) = f(w)$, i.e., $gL = wL$. Then $g = wl$ for some $l \in L$. By the density of $L \cap G$ in L , we can write $l = \lim h_\alpha$ with $h_\alpha \in L \cap G$. Let $w = \lim v_\alpha$, with $v_\alpha \in V$. Then $\lim_\alpha gh_\alpha^{-1}v_\alpha^{-1} = e$ in G . Hence there exists α such that $gh_\alpha^{-1}v_\alpha^{-1} \in V$. Therefore, $g \in V^2(L \cap G) \subseteq UL$. Hence $f(g) \in f(U)$. \square

To continue the proof of the theorem denote by L the closure \overline{H}^K of H in K and by $f : K \rightarrow K/L$ the open canonical map. By the claim, the restriction of f to G is open. Therefore, the image $f(G)$ with the topology induced by K/L is topologically isomorphic to the quotient homogeneous space $(G/H, \tau/H)$.

To prove (a) assume that σ is a coarser Hausdorff group topology on G . Then (G, σ) is isomorphic to a subgroup of the quotient group K/N for some closed normal subgroup N of K with (1). Since the projection $q : K \rightarrow K/N$ is a closed map, $q(L) = NL/N$ is a closed subgroup of K/N . Moreover, $q(H)$ is dense in NL/N , so $NL/N = \overline{q(H)}$. Hence, the σ -closure of H in G is $G \cap q^{-1}(q(L)) = G \cap NL$. Therefore, H is σ -closed iff $H = G \cap NL$.

(b) Assume first that $N \leq L$ for every closed normal subgroup N of K with (1). To prove that H is co-minimal assume that σ is a coarser Hausdorff group topology on G . Then (G, σ) is isomorphic to a subgroup of the quotient group K/N for some closed normal subgroup N of K with (1). Let $q : K \rightarrow K/N$ be the projection. By our hypothesis, $N \leq L$ we conclude that there exists a continuous map $\eta : K/N \rightarrow K/L$ such that $\eta \circ q = f$. So by the claim the quotient topology σ/H on G/H , can be obtained also as the topology induced on $\eta(q(G))$ by K/L . Since the image $\eta(q(G))$ coincides with $f(G)$, this proves that $\sigma/H = \tau/H$ on G/H .

Now assume that H is co-minimal. Consider a closed normal subgroup N of K with (1). We have to prove that $N \leq L$. To this end consider the projection $q : K \rightarrow K/N$ and the coarser topology $\sigma \subseteq \tau$ on G induced by the injective map $q : G \rightarrow K/N$. Then $\sigma/H = \tau/H$ since H is co-minimal. Moreover, for the same reason, H must be strongly closed (Theorem 3.10), so $G \cap NL = H$ by (a).

Being K/N the completion of (G, σ) , the closure of $H = q(H)$ in K/N is precisely $q(L) = (L \cdot N)/N$ by the compactness of L . Therefore, arguing as above we conclude that $(G/H, \sigma/H)$ isomorphic to the image of $q(G)$ under the quotient map

$$\xi : K/N \rightarrow (K/N)/q(L) = (K/N)/(L \cdot N)/N \cong K/(L \cdot N). \tag{2}$$

Since $\xi \circ q = \psi \circ f$, where $\psi : K/L \rightarrow K/(L \cdot N)$ is the canonical map, we conclude that the restriction of ψ to $f(G) = (G/H, \tau)$ sends this group isomorphically to $\psi(f(G)) = \xi(q(G)) = (G/H, \sigma/H)$. The restriction $\psi \upharpoonright_{f(G)}$ is open by our hypothesis $\sigma/H = \tau/H$. To check $N \leq L$ take any $a \in N$ and let $a = \lim g_\alpha$ with $g_\alpha \in G$. Then for $x_\alpha = f(g_\alpha H) \in G/H$ one has $x_\alpha \rightarrow a.H$ in $(G/H, \tau/H)$. By the continuity of ψ and $a \in N$ one gets $\psi(x_\alpha) \rightarrow e.NL$ in K/NL , i.e. $\psi(x_\alpha) \rightarrow e.H$ in $(G/H, \sigma/H)$. Since $\psi \upharpoonright_{f(G)}$ is open, we conclude that also $x_\alpha \rightarrow e.H \in G/H$ in $(G/H, \tau/H)$. By the uniqueness of limits in the Hausdorff space K/L we have $a.L = e.L$, so $a \in L$. \square

As a corollary it gives another property: *if a precompact group G has a compact co-minimal subgroup, then it is minimal* (this follows from Corollary 3.2 without the assumption “precompact” for G and “compact” for H). Indeed, apply to G and its compact subgroup H the previous theorem and note that H remains closed also in the completion of G . Hence every closed normal subgroup N of the completion satisfying (1) must be contained in $H \leq G$, and consequently $N = \{1\}$. Now the minimality criterion implies that G is minimal.

Now we can give some examples of groups where only the dense subgroups are co-minimal.

Example 6.10. Let G be a dense torsion-free subgroup of \mathbb{T}^n . Then the co-minimal subgroups of G are dense. Indeed, let H be a closed co-minimal subgroup of G and let L be its closure in \mathbb{T}^n . Let p be a prime and $k \in \mathbb{N}$. Then for every element $x \in \mathbb{T}^n$ of order p^k the finite cyclic subgroup N generated by x trivially meets G , so by the above criterion, $N \leq L$. Therefore, L contains all elements of \mathbb{T}^n of order p^k . This holds true for all k . So L contains the subgroup $t_p(\mathbb{T}^n)$ of all p -torsion elements of \mathbb{T}^n . Since the latter is dense, this implies $L = \mathbb{T}^n$, i.e., $H = G$. The same argument works with the relaxed condition $t_p(G) = 0$, instead of asking G to be torsion-free.

Both items of the example below can be obtained also from Example 3.17.

Example 6.11. Let us see examples of groups with few (actually, the minimum number of) co-minimal subgroups. Clearly such groups have no proper dense subgroups.

(a) Let $G = \mathbb{Z}^\#$ (the integers with the Bohr topology). Then every subgroup of G is closed. To see that no proper subgroup $H = n\mathbb{Z}$ ($1 < n$) can be co-minimal it suffices to note that the Bohr compactification $b\mathbb{Z}$ (i.e. the completion of G) is isomorphic to $c(b\mathbb{Z}) \times \prod_p \mathbb{Z}_p$, where \mathbb{Z}_p is the compact group of p -adic integers and $c(b\mathbb{Z})$ is connected component of $b\mathbb{Z}$. Now pick any prime $p|n$. Then

$$nb\mathbb{Z} \leq c(b\mathbb{Z}) \times p\mathbb{Z}_p \times \prod_{q \neq p} \mathbb{Z}_q$$

(since $c(b\mathbb{Z})$ is a divisible connected compact group). In particular, $nb\mathbb{Z} \not\supseteq \mathbb{Z}_p$ while $G \cap \mathbb{Z}_p = \{0\}$. Since $nb\mathbb{Z}$ is the closure of $H = n\mathbb{Z}$, Theorem 6.9 implies that H is not co-minimal.

(b) Let ν be the profinite topology of \mathbb{Z} . Then the completion of $G = (\mathbb{Z}, \nu)$ is isomorphic to the product $\prod_p \mathbb{Z}_p$ and a similar argument as above shows that no proper subgroup $H = n\mathbb{Z}$ ($1 < n$) of G can be co-minimal.

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