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### **Eberlein Groups**

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ABSTRACT. We show that the algebra W(G) of all weakly almost periodic functions generates the given topology on a Hausdorff topological group G (say, *Eberlein group*) if and only if there exists a reflexive Banach space X such that G is a topological subgroup of the group Is(X) of all linear isometries of X endowed with the strong operator topology. For this purpose we establish a general result on coincidence of the strong and weak operator topologies on Is(X) for arbitrary reflexive X. The proof is based on the Ellis-Lawson joint continuity theorem and our recent result about continuity of dual group actions on Asplund spaces. In the last part we discuss two possible ways finding non-Eberlein groups using uniformly universal Banach spaces or minimal topological groups.

## §1. Introduction.

Let S be a semitopological semigroup, that is, a topologized semigroup with a separately continuous multiplication. We will denote by C(S) the commutative  $C^*$ algebra of all bounded continuous complex valued functions on S. For each  $s \in S$ , the right translation maps  $R_s$  of C(S) into itself are defined by

$$R_s f(x) = f(xs)$$
 for all  $x \in S$ .

Recall some basic facts about weak almost periodicity (see [Eb], [LG], [BJM], [R1]). A function  $f \in C(S)$  is weakly almost periodic if the orbit of f, that is, the set

$$Sf := \{R_sf \mid s \in S\}$$

is relatively weakly compact in C(S). The set W(S) of all such functions is a closed S-invariant subalgebra of C(S). If S is compact, then W(S) = C(S). Moreover, the compactification  $u: S \to S^w$ , induced by the algebra W(S), is the universal semitopological semigroup compactification of S.

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If  $(X, \| \|)$  is a Banach space, we denote by  $(L(X), \| \|)$  the algebra of all bounded linear operators  $X \to X$ . The dual Banach space of X will be denoted by  $X^*$ . We use the following notation:

$$B(X) := \{ x \in X \mid ||x|| \le 1 \}$$
  
Cont(X) :=  $\{ s \in L(X) \mid ||s|| \le 1 \}$ .

The group of all linear isometries of X will be denoted by Is(X). The strong, strong<sup>\*</sup> and weak operator topology (which we denote respectively by  $T_s, T_{s^*}$  and  $T_w$ ) on L(X) is the weakest topology generated respectively by the system of maps:

- (1)  $\{\tilde{x}: L(X) \to X, \ \tilde{x}(s) = sx \mid x \in X\},\$
- (2)  $\{\tilde{f}: L(X) \to X^*, \ \tilde{f}(s)(x) = f(sx) \mid f \in X^*\},\$
- (3)  $\{\psi_{x,f} : L(X) \to \mathbb{R}, \ \psi_{x,f}(s) = f(sx) \mid x \in X, f \in X^*\}.$

If a subset P of L(X) is endowed with one of the following subspace topologies  $T_s \mid_P, T_{s^*} \mid_P, T_w \mid_P$ , then often we indicate this by writing  $P_s, P_{s^*}$  and  $P_w$ , respectively. Analogously, a subset A of X endowed with its usual weak topology is denoted by  $A_w$ .  $L(X)_s, L(X)_{s^*}, L(X)_w$  are always Hausdorff semitopological semigroups.

**Fact 1.1.** (Banach-Bourbaki Theorem) A Banach space X is reflexive iff B(X) is weakly compact.

**Fact 1.2.** The semitopological semigroup  $Cont(X)_w$  is compact iff X is reflexive.

*Proof.* The compactness of  $Cont(X)_w$  for a reflexive X is well-known (see, for instance [LG, Th. 3.1]). The converse implication follows from Fact 1.1, taking into account that for any fixed vector  $x_0$  with  $||x_0|| = 1$ , the map

$$\operatorname{Cont}(X)_w \to B(X)_w, \quad s \to sx_0$$

is continuous and *onto*. Indeed, take a continuous functional f on X such that  $f(x_0) = 1$  and ||f|| = 1. For every  $z \in B(X)$  assign to the pair (f, z) the following linear operator

$$A_{f,z} \colon X \to X, \quad A_{f,z}(x) = f(x)z$$

Clearly,  $A_{f,z}$  is a contraction of X moving  $x_0$  into z.

The following result is well known for Hilbert spaces.

**Theorem 1.3.** Let X be a reflexive Banach space. Then the strong and weak operator topologies coincide on Is(X).

*Proof.* In [Me1, Corollary 6.9] we have already proved that the reflexivity of X guarantees the continuity of the dual action of Is(X) on  $X^*$ . This leads (see [Me1, Corollary 6.11] to the equality  $T_s \mid_{Is(X)} = T_{s^*} \mid_{Is(X)}$ . Therefore, for our purposes it suffices to show that  $T_{s^*} \mid_{Is(X)} \subseteq T_w \mid_{Is(X)}$ .

Consider the canonical separately continuous semigroup action

$$\alpha \colon \operatorname{Cont}(X)_w \times B(X)_w \to B(X)_w.$$

The reflexivity of X guarantees that  $B(X)_w$  and  $Cont(X)_w$  are compact. Therefore we can apply the *Ellis-Lawson Theorem* [La, Corollary 5.2] which implies that  $\alpha$  is jointly continuous at each point (g, x), where g is an arbitrary unit of Cont(X) and x is an arbitrary point of B(X). Thus, the restricted group action

$$\alpha' \colon Is(X)_w \times B(X)_w \to B(X)_w$$

is jointly continuous. Let  $f \in X^*$ . Clearly, the restricted map  $f \mid_{B(X)} : B(X)_w \to \mathbb{C}$ is continuous. Using the joint continuity of  $\alpha'$  and the compactness of  $B(X)_w$ , we obtain that for a given  $\varepsilon > 0$  and  $g_0 \in Is(X)$  there exists a neighborhood  $O(g_0)$  of  $g_0$  in  $Is(E)_w$  such that

$$|f(gx) - f(g_0x)| < \varepsilon$$

for every  $x \in B(X)$  and  $g \in O(g_0)$ . Or, equivalently,

$$\|fg - fg_0\|^* < \varepsilon$$

for every  $g \in O(g_0)$ . This means that the orbit map

$$\tilde{f} \colon Is(X)_w \to X^*$$

is continuous for every  $f \in X^*$ . Therefore,  $T_{s^*} \mid_{I_s(X)} \subseteq T_w \mid_{I_s(X)}$ , as required.  $\Box$ 

Remark 1.4. In general,  $T_s \mid_{I_s(X)} \neq T_w \mid_{I_s(X)}$ . The following general construction, providing many counterexamples, is based on an idea of Helmer [H, Ex. 13]. Let Y be a compact Hausdorff space and let G be a subgroup of the group H(Y) of all autohomeomorphisms of Y. Denote by  $G_p$  the group G endowed with the topology of pointwise convergence. Then the evaluation map  $\alpha \colon G_p \times Y \to Y$  is separately continuous. Consider the induced action

$$\pi \colon G \times C(Y) \to C(Y), \qquad (g \circ f)(y) = f(g^{-1}y)$$

and the induced injective group homomorphism  $j: G \to Is(X)$ , where X = C(Y). Now, suppose that  $\alpha$  is not jointly continuous and G is a k-space (for example, in [H, Ex. 13], G is the topological group of all rationals and Y is the square  $[-1,1]^2$ ). Then, by Grothendieck's classical result [G, Th. 5], the map  $j: G_p \to Is(X)_w$  is compact-preserving and, hence, continuous by our assumption on G. It is easy to show that  $T_w \mid_{j(G)} \neq T_s \mid_{j(G)}$ . Indeed, assuming the contrary, we will obtain that the restricted dual action

$$\pi_B^* : G_p \times B(X^*)_{w^*} \to B(X^*)_{w^*} , \qquad (g \circ \psi)(f) = \psi(g^{-1} \circ f)$$

is continuous, where  $B(X^*)_{w^*}$  is the unit ball of  $X^*$  endowed with the weak<sup>\*</sup> topology. Then the original action  $\alpha$  (being canonically equivalent to a subaction of  $\pi_B^*$ ) is jointly continuous. This contradicts our assumption.

Remark 1.5. In general,  $T_s \mid_{I_s(X)} \neq T_{s^*} \mid_{I_s(X)}$ . Indeed, it is well known that there are many continuous norm invariant continuous linear group actions on Banach spaces X such that the corresponding dual actions on  $X^*$  are not continuous. Consider, for example,  $X = \ell_1$  and define a subgroup  $S(\mathbb{N})$  of  $Is(X)_s$  consisting of all permutations of "coordinates." Then the dual action of  $S(\mathbb{N})$  on  $\ell_1^* = m$  is not continuous. Hence, it is not even true that  $T_{s^*} \mid_{I_s(X)} \subseteq T_s \mid_{I_s(X)}$ . For arguments in the case of X = C[0, 1], see [Me1]. Note also that if X is Asplund (by Stegall's result [St], it is equivalent to saying that the dual  $X^*$  has the Radon-Nikodym property), then, necessarily,  $T_{s^*} \mid_{I_s(X)} \subseteq T_s \mid_{I_s(X)}$  (cf. [Me1, Corollary 6.9]).

# §2. Compact semitopological semigroups "live" in reflexive spaces.

For every reflexive Banach space X, the semitopological semigroup  $Cont(X)_w$ is compact. The aim of this section is to show

**Theorem 2.1.** Let  $(S, \tau)$  be a compact Hausdorff semitopological semigroup. Then there exists a reflexive Banach space X such that  $(S, \tau)$  is a subsemigroup of the compact semitopological semigroup  $Cont(X)_w$ .

*Proof.* Without loss of generality, we can assume that S has the identity e. Consider the natural monoid action

$$\alpha \colon S \times C(S) \to C(S) \qquad \alpha(s, f) = sf = R_s(f).$$

Clearly, each s-translation  $\alpha^s = R_s$  is continuous. Moreover, by [G, Th. 5], each orbit map

$$\alpha_f \colon S \to C(S) \ , \qquad s \mapsto sf$$

is weakly continuous (see [LG]). Therefore, for every fixed  $f \in C(S)$ , the orbit Sf is weakly compact. Denote by  $E_f$  the Banach subspace of C(S) linearly and topologically generated by Sf. Since  $a^s$  is continuous for every  $s \in S$ ,  $E_f$  is S-invariant. By the Hahn-Banach Theorem, the weak topology of  $E_f$  is the same as its relative weak topology as a subset of C(S). In particular, Sf is weakly compact in  $E_f$ . By the Krein-Smulian Theorem, the convex hull  $co(-Sf \cup Sf) = W$  of the weakly compact symmetric subset  $-Sf \cup Sf$  is relatively weakly compact. That is, the (weak) closure of W in  $E_f$  is weakly compact. Since W is a convex, bounded and symmetric subset of  $E_f$ , we can apply the factorization procedure discovered by Davis, Figiel, Johnson and Pelczynski [DFJP]. For each natural n, set

$$U_n = 2^n W + 2^{-n} B(E_f).$$

Let  $|| ||_n$  be the gauge of the set  $U_n$ . That is,

$$||x||_n = \inf \{\lambda > 0 \mid x \in \lambda U_n\}.$$

(1)  $|| ||_n$  is a norm on  $E_f$  equivalent to the given norm || || of  $E_f$ ;

(2) For  $x \in E_f$ , let

$$N(x) = \left(\sum_{n=1}^{\infty} \|x\|_{n}^{2}\right)^{1/2},$$

and let

$$X_f = \{ x \in E_f \mid N(x) < \infty \}.$$

Denote by  $j: X_f \to E_f$  the inclusion map;

(3) 
$$f \in Sf \subseteq W \subseteq B(X_f);$$

(4)  $(X_f, N)$  is a Banach space and  $j: X_f \to E_f$  is a continuous linear injection;

(5)  $X_f$  is reflexive;

(6) The restriction of  $j: X_f \to E_f$  on each bounded subset A of  $X_f$  induces a homeomorphism of A and j(A) in the weak topologies.

*Proof.* Consider the weak closure  $cl_w(A)$  of A in  $X_f$ . By the reflexivity of  $X_f$ , the set  $cl_w(A)$  is weakly compact. Hence, j, being weakly continuous and injective, induces a homeomorphism of  $cl_w(A)$  and  $j(cl_w(A))$  with respect to the weak topologies. This proves assertion (6).

(7)  $N(sx) \leq N(x)$  for every  $x \in X_f$  and every  $s \in S$ .

Proof. It suffices to show that  $||sx||_n \leq ||x||_n$  for every  $n \in \mathbb{N}$ . By our construction  $sW \subseteq W$  and  $sB(E_f) \subseteq B(E_f)$  ( $R_s$  is a contraction of  $E_f$ ). Then, from  $x \in \lambda(2^nW + 2^{-n}B(E_f))$  we obtain that  $sx \in \lambda(2^n(sW) + 2^{-n}s(B(E_f))) \subseteq \lambda(2^nW + 2^{-n}B(E_f))$ . Hence,  $||sx||_n \leq ||x||_n$ , as required. This proves assertion (7).

As a corollary, we get that  $X_f$  is an S-invariant subset of  $E_f$ . Therefore, the restricted action

$$\alpha_f \colon S \times X_f \to X_f$$

is well-defined.

(8) For every  $z \in X_f$ , the orbit map

$$\tilde{z} \colon S \to X_f \quad , \qquad \tilde{z}(s) = sz$$

is weakly continuous.

*Proof.* Indeed, by assertion (7), the orbit  $\tilde{z}(S) = Sz$  is an N-normed bounded subset in  $X_f$ . Our assertion follows from (6) (for A = Sz), taking into account that  $\tilde{z}: S \to E_f$  is weakly continuous.

By (7), for every  $s \in S$ , the translation map  $\alpha_f^s \colon X_f \to X_f$  is a linear contraction of  $(X_f, N)$ . Therefore, we get the map

$$\gamma_f \colon S \to \operatorname{Cont}(X_f) \quad , \quad \gamma_f(s) = \alpha_f^s.$$

Now, directly from (8) we obtain

(9)  $\gamma_f \colon S \to \operatorname{Cont}(X_f)_w$  is a continuous monoid homomorphism.

Now we are ready to construct the desired reflexive Banach space X. Consider the family  $F = \{X_f \mid f \in C(S)\}$  of reflexive Banach spaces and the family

$$\{\gamma_f \colon S \to \operatorname{Cont}(X_f) \mid f \in C(S)\}$$

of monoid homomorphisms. Define X as the  $\ell_2$ -product (cf. [Da, p. 35] or [NP, p. 743]),  $X = \prod_2 X_f$  of the family F. Recall that it is the space of all functions  $x = (x_f)$  such that  $x_f \in X_f$  for each  $f \in C(S)$ , and the norm on X is defined by

$$||x|| = \left(\sum_{f \in C(S)} ||x_f||^2\right)^{1/2} < \infty.$$

Then (X, || ||) is reflexive. Moreover,  $(\prod_2 X_f)^* = \prod_2 X_f^*$  and the corresponding pairing for  $x = (x_f) \in \prod_2 X_f$ ,  $h = (h_f) \in \prod_2 X_f^*$  is defined by

$$h(x) = \sum_{f \in C(S)} h_f(x_f).$$

Now we define a linear representation of S in X as the  $\ell_2$ -product of old representations. Precisely, we define

$$\gamma \colon S \to \operatorname{Cont}(X) \quad , \quad \gamma(s)(x_f) = (sx_f).$$

First observe that by assertion (7), X is well-defined. Clearly,  $\gamma$  is a monoid homomorphism. By assertion (9) and the above-mentioned description of  $X^*$ , it is easy to show that  $\gamma$  is weakly continuous. In order to establish that  $\gamma$  is the desired embedding, by the compactness of S, we have only to show that  $\gamma$  is injective. Equivalently, it suffices to check that  $\{\gamma_f \mid f \in C(S)\}$  separates the points of S. Let  $s_1, s_2$  be distinct points of S. Choose a continuous function  $f \in C(S)$  with  $f(s_1) \neq f(s_2)$ . Since  $(s_1f)(e) = f(s_1)$  and  $(s_2f)(e) = f(s_2)$ , it follows that  $s_1f$  and  $s_2f$  are distinct elements of C(S) and of  $E_f$ . Moreover, by our construction,  $X_f \subseteq$  $E_f$  and  $s_1f, s_2f$  both belong to  $X_f$  (see assertion (3)). Therefore,  $\gamma_f(s_1) \neq \gamma_f(s_2)$ . This implies that  $\gamma(s_1) \neq \gamma(s_2)$ , as required.  $\Box$ 

Remark 2.2. In Theorem 2.1 we may choose X as having the same topological weight as S. That is, w(X) = w(S). Indeed, we can easily modify the second part of the proof, taking the family  $\{X_f \mid f \in P\}$ , where P separates the points of S.

## §3. Eberlein groups and Ruppert's problem.

Recall that for every semitopological semigroup S, the compactification  $u: S \to S^w$  induced by the algebra W(S) is just the universal semitopological compactification of S.

**Definition 3.1.** We say that G is an *Eberlein group* if one of the following equivalent conditions holds:

- (i) W(G) separates the points from the closed sets;
- (ii)  $u: G \to G^w$  is a topological embedding;
- (iii) G is a topological subgroup of a compact semitopological semigroup.

For instance, every Hausdorff locally compact group is Eberlein.

*Problem 3.2.* (Ruppert [R1, p.114-115]) Find a Hausdorff topological group which is not Eberlein.

This problem is open even in the case when G is algebraically isomorphic to  $\mathbb{Z}$  (cf. [R2]).

Every topological group G is a topological subgroup of  $Is(X)_s$  for a certain Banach space X (take, for example,  $X = C_r(G)$ , the space for all right uniformly continuous functions on G (as in Teleman [T])). The natural question is: how good may X be? When X may be Asplund or even reflexive? It turns out that the case of a reflexive X gives a characterization of Eberlein groups. Indeed, by combining Theorem 2.1 and Theorem 1.3 we obtain

**Theorem 3.2.** For every topological group G TFAE:

- (i) G is an Eberlein group;
- (ii) G is a topological subgroup of  $Is(X)_s$  for a certain reflexive Banach space X.

Below we discuss some related results and questions (having independent interest) which may help resolve Ruppert's problem.

**Proposition 3.3.** Let G be a separable metrizable group and let  $U_{\ell}(G)$  denote its left uniform structure. If G is Eberlein, then  $(G, U_{\ell}(G))$ , as a uniform space, is embedded into a separable reflexive Banach space Y.

**Proof.** By Theorem 3.2, G is a topological subgroup of  $Is(X)_s$  for a certain reflexive Banach space X. Proceeding as in [Me2, Counterexample 3.13], without loss of generality we may suppose that X is separable. By the definition of the strong operator topology, the system of all orbit maps on G generates the uniformity  $U_{\ell}(G)$ . Since G is second countable, we may suppose that there exists a sequence  $z_n$  in X such that the corresponding sequence of orbit maps

$$\tilde{z}_n \colon G \to X \quad , \quad \tilde{z}_n(g) = g z_n$$

generates  $U_{\ell}(G)$ . Moreover, we may suppose that  $||z_n|| = 2^{-n}$ . Consider the  $\ell_2$ -product  $\prod_2 X_n = Y$  of the family  $\{(X_n, || ||_n) \mid n \in \mathbb{N}\}$ , where each  $(X_n, || ||_n)$  is a copy of (X, || ||). Clearly, Y is a reflexive Banach space. Since  $||z_n|| = 2^{-n}$ , it is easy to show that the diagonal product map

$$\gamma \colon G \to \prod_{2} X_n = Y, \qquad \gamma(g) = (gz_n)$$

provides the desired uniform embedding.

We say that a separable Banach space U is uniformly universal if every separable Banach space, as a uniform space, can be uniformly embedded into U. Aharoni [Ah] proved that  $c_0$  is uniformly universal. In response to a question by Yu. Smirnov, in 1969 Enflo [En] found a separable metrizable uniform space which is not uniformly embedded into a Hilbert space. That is,  $\ell_2$  is not uniformly universal. However, it is not clear if here "Hilbert" may be replaced by "reflexive."

Question 3.4. (a) Is it true that  $C[0, 1], c_0$  (or any other uniformly universal space) is a uniform subset of a certain reflexive Banach space?

(b) Equivalently, is it true that there exists a uniformly universal reflexive Banach space?

Note that if  $c_0$  is an Eberlein group, then, by Theorem 3.2 and Proposition 3.3, there exists a uniformly universal reflexive Banach space. Note also that there is no *Lipschitz embedding* of  $c_0$  into a reflexive Banach space [Ma]. For additional results in the theory of uniform (or Lipschitz) Banach embeddings, we refer to the survey of J. Lindenstrauss [Li].

In order to explain another possible link to Problem 3.2, let us recall that a Hausdorff topological group G is said to be *minimal* [Step] if there is no strictly coarser Hausdorff group topology on G.

Question 3.5. (Arhangel'skij (cf. [Di], [Me2]) Is it true that every Hausdorff topological group G is a quotient of a minimal topological group?

The following proposition implies a positive answer to Question 3.5 in the case of an Eberlein group.

**Proposition 3.6.** Every Eberlein group is a group retract of a minimal topological group.

*Proof.* In [Me1, Theorem 6.12] we proved that, if X is an Asplund space, then every topological subgroup G of  $Is(X)_s$  is a group retract of a minimal topological group. Now apply Theorem 3.2.

If the answer to Arhangel'skij's question or to Question 3.4 is negative, then this will provide the solution to Ruppert's problem.

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