

NOTES ON NON-ARCHIMEDEAN TOPOLOGICAL GROUPS

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Dedicated to Professor Dikran Dikranjan on his 60th birthday

ABSTRACT. We show that the Heisenberg type group $H_X = (\mathbb{Z}_2 \oplus V) \ltimes V^*$, with the discrete Boolean group $V := C(X, \mathbb{Z}_2)$, canonically defined by any Stone space X , is always minimal. That is, H_X does not admit any strictly coarser Hausdorff group topology. This leads us to the following result: for every (locally compact) non-archimedean G there exists a (resp., locally compact) non-archimedean minimal group M such that G is a group retract of M . For discrete groups G the latter was proved by S. Dierolf and U. Schwanengel [6]. We unify some old and new characterization results for non-archimedean groups.

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1. INTRODUCTION AND PRELIMINARIES

A topological group is *non-archimedean* if it has a local base at the identity consisting of open subgroups. This class of groups coincides with the class of topological subgroups of the homeomorphism groups $\text{Homeo}(X)$, where X runs over *Stone spaces* (=compact zero-dimensional spaces) and $\text{Homeo}(X)$ carries the usual compact open topology. Recall that by Stone's representation theorem, there is a duality between the category of Stone spaces and the category of Boolean algebras. The class \mathcal{NA} of non-archimedean groups and the related class of ultra-metric spaces have many applications. For instance, in non-archimedean functional analysis, in descriptive set theory, computer science, etc. See, e.g., [36, 3, 22, 21, 43] and references therein.

In the present paper we provide some applications of generalized Heisenberg groups, with emphasis on minimality properties, in the theory of \mathcal{NA} groups and actions on Stone spaces.

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Recall that a Hausdorff topological group G is *minimal* (Stephenson [38] and Doïchinov [12]) if it does not admit a strictly coarser Hausdorff group topology, or equivalently, if every injective continuous group homomorphism $G \rightarrow P$ into a Hausdorff topological group is a topological group embedding.

If otherwise is not stated all topological groups and spaces in this paper are assumed to be Hausdorff. We say that an additive topological group $(G, +)$ is a *Boolean group* if $x + x = 0$ for every $x \in G$. As usual, a G -space X is a topological space X with a continuous group action $\pi : G \times X \rightarrow X$ of a topological group G . We say that X is a G -group if, in addition, X is a topological group and all g -translations, $\pi^g : X \rightarrow X$, $x \mapsto gx := \pi(g, x)$, are automorphisms of X . For every G -group X we denote by $X \rtimes G$ the corresponding topological semidirect product.

To every Stone space X we associate a (locally compact 2-step nilpotent) Heisenberg type group

$$H_X = (\mathbb{Z}_2 \oplus V) \rtimes V^*,$$

where $V := C(X, \mathbb{Z}_2)$ is a discrete Boolean group which can be identified with the group of all clopen subsets of X (symmetric difference is the group operation). $V^* := \text{Hom}(V, \mathbb{Z}_2)$ is the compact group of all group homomorphisms into the two element cyclic group \mathbb{Z}_2 . V^* acts on $\mathbb{Z}_2 \oplus V$ in the following way: every $(f, (a, x)) \in V^* \times (\mathbb{Z}_2 \oplus V)$ is mapped to $(a + f(x), x) \in \mathbb{Z}_2 \oplus V$. The group operation on H_X is defined as follows: for

$$u_1 = (a_1, x_1, f_1), \quad u_2 = (a_2, x_2, f_2) \in H_X$$

we define

$$u_1 u_2 = (a_1 + a_2 + f_1(x_2), x_1 + x_2, f_1 + f_2).$$

In Section 4 we study some properties of H_X and show in particular (Theorem 4.1) that the (locally compact) Heisenberg group $H_X = (\mathbb{Z}_2 \times V) \rtimes V^*$ is minimal and non-archimedean for every Stone space X .

Every Stone space X is naturally embedded into $V^* := \text{Hom}(V, \mathbb{Z}_2)$ by the natural map $\delta : X \rightarrow V^*$, $x \mapsto \delta_x$ where $\delta_x(f) := f(x)$. Every δ_x can be treated as a 2-valued measure on X . Identifying X with $\delta(X) \subset V^*$ we get a restricted evaluation map $V \times X \rightarrow \mathbb{Z}_2$ which in fact is the evaluation map of the Stone duality. Note that the role of $\delta : X \rightarrow V^*$ for a compact space X is similar to the role of the Gelfand map $X \rightarrow C(X)^*$, representing X via the point measures.

For every action of a group $G \subset \text{Homeo}(X)$ on a Stone space X we can deal with a G -space version of the classical Stone duality. The map $\delta : X \rightarrow V^*$ is a G -map of G -spaces. Furthermore, a deeper analysis shows (Theorem 4.4) that every topological subgroup $G \subset \text{Homeo}(X)$ induces a continuous action of G on H_X by automorphisms such that the corresponding semidirect product $H_X \rtimes G$ is a minimal group.

We then conclude (Corollary 4.5) that every (locally compact) non-archimedean group is a group retract of a (resp., locally compact) minimal non-archimedean group. It covers a result of Dierolf and Schwanengel [6] (see also Example 3.5 below) which asserts that every discrete group is a group retract of a locally compact non-archimedean minimal group.

Section 2 contains additional motivating results and questions. Several interesting applications of generalized Heisenberg groups can be found in the papers [25, 26, 27, 11, 28, 8, 9, 37].

Studying the properties of the Heisenberg group H_X , we get a unified approach to several (mostly known) equivalent characterizations of the class \mathcal{NA} of non-archimedean groups (Lemma 3.2 and Theorem 5.1). In particular, we show that the class of all topological subgroups of $\text{Aut}(K)$, for compact abelian groups K , is precisely \mathcal{NA} .

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2. MINIMALITY AND GROUP REPRESENTATIONS

Clearly, every compact topological group is minimal. Trivial examples of non-minimal groups are: the group \mathbb{Z} of all integers (or any discrete infinite abelian group) and \mathbb{R} , the topological group of all reals. By a fundamental theorem of Prodanov and Stoyanov [32] every abelian minimal group is precompact. For more information about minimal groups see review papers of Dikranjan [7] and Comfort-Hofmann-Remus [5], a book of Dikranjan-Prodanov-Stoyanov [10] and a recent book of Lukacs [23].

Unexpectedly enough many non-compact naturally defined topological groups are minimal.

Remark 2.1. Recall some nontrivial examples of minimal groups.

- (1) Prodanov [31] showed that the p -adic topologies are the only precompact minimal group topologies on \mathbb{Z} .
- (2) Symmetric topological groups S_X (Gaughan [15]).
- (3) $\text{Homeo}(\{0, 1\}^{\aleph_0})$ (see Gamarnik [14]) and also Uspenskij [42] for a more general case).
- (4) $\text{Homeo}[0, 1]$ (Gamarnik [14]).
- (5) The semidirect product $\mathbb{R} \rtimes \mathbb{R}_+$ (Dierolf-Schwanengel [6]). More general cases of minimal (so-called *admissible*) semidirect products were studied by Remus and Stoyanov [35]. By [26], $\mathbb{R}^n \rtimes \mathbb{R}_+$ is minimal for every $n \in \mathbb{N}$.
- (6) Every connected semisimple Lie group with finite center, e.g., $SL_n(\mathbb{R})$, $n \geq 2$ (Remus and Stoyanov [35]).
- (7) The full unitary group $U(H)$ (Stoyanov [39]).

One of the immediate difficulties is the fact that minimality is not preserved by quotients and (closed) subgroups. See for example item (5) with minimal $\mathbb{R} \rtimes \mathbb{R}_+$ where its canonical quotient \mathbb{R}_+ (the positive reals) and the closed normal subgroup \mathbb{R} are nonminimal. As a contrast note that in a minimal *abelian* group every closed subgroup is minimal [10].

In 1983 Pestov raised the conjecture that every topological group is a group retract of a minimal group. Note that if $f : M \rightarrow G$ is a group retraction then necessarily G is a quotient of M and also a closed subgroup in M . Arhangel'skiĭ asked the following closely related questions:

Question 2.2. ([2], [30]) *Is every topological group a quotient of a minimal group? Is every topological group a closed subgroup of a minimal group?*

By a result of Uspenskij [41] every topological group is a subgroup of a minimal group M which is Raikov-complete, topologically simple and Roelcke-precompact.

Recently a positive answer to Pestov's conjecture (and hence to Question 2.2 of Arhangel'skiĭ) was obtained in [28]. The proof is based on methods (from [25]) of constructing minimal groups using group representations on Banach spaces and involving generalized Heisenberg groups.

According to [25] every locally compact *abelian* group is a group retract of a minimal locally compact group. It is an open question whether the same is true in the nonabelian case.

Question 2.3. ([25], [28] and [5]) *Is it true that every locally compact group G is a group retract (at least a subgroup or a quotient) of a locally compact minimal group?*

A more general natural question is the following:

Question 2.4. [25] *Let \mathcal{K} be a certain class of topological groups and \underline{min} denotes the class of all minimal groups. Is it true that every $G \in \mathcal{K}$ is a group retract of a group $M \in \mathcal{K} \cap \underline{min}$?*

So Corollary 4.5 gives a partial answer to Questions 2.3 and 2.4 in the class $\mathcal{K} := \mathcal{NA}$ of non-archimedean groups.

Remark 2.5. Note that by [28, Theorem 7.2] we can present any topological group G as a group retraction $M \rightarrow G$, where M is a minimal group having the same weight and character as G . Furthermore, if G is Raikov-complete then M also has the same property. These results provide in particular a positive answer to Question 2.4 in the following basic classes: second countable groups, metrizable groups, Polish groups.

2.1. Minimality properties of actions.

Definition 2.6. *Let $\alpha : G \times X \rightarrow X$, $\alpha(g, x) = gx$ be a continuous action of a Hausdorff topological group (G, σ) on a Hausdorff topological space (X, τ) . The action α is said to be:*

- (1) algebraically exact if $\ker_\alpha := \{g \in G : gx = x \ \forall x \in X\}$ is the trivial subgroup $\{e\}$.
- (2) topologically exact (*t-exact, in short*) if there is no strictly coarser, not necessarily Hausdorff, group topology $\sigma' \subsetneq \sigma$ on G such that α is (σ', τ, τ) -continuous.

Remark 2.7. (1) Every topologically exact action is algebraically exact. Indeed, otherwise \ker_α is a non-trivial subgroup in G . Then the preimage group topology $\sigma' \subset \sigma$ on G induced by the onto homomorphism $G \rightarrow G/\ker_\alpha$ is not Hausdorff (in particular, it differs σ) and the action remains (σ', τ, τ) -continuous.

- (2) On the other hand, if α is algebraically exact then it is topologically exact if and only if for every strictly coarser Hausdorff group topology $\sigma' \subsetneq \sigma$ on

G the action α is not (σ', τ, τ) -continuous. Indeed, since α is algebraically exact and (X, τ) is Hausdorff then every coarser group topology σ' on G which makes the action (σ', τ, τ) -continuous must be Hausdorff.

Let X be a locally compact group and $\text{Aut}(X)$ be the group of all automorphisms endowed with the *Birkhoff topology* (see [16, §26] and [10, p. 260]). Some authors use the name *Braconnier topology* (see [4]).

The latter is a group topology on $\text{Aut}(X)$ and has a local base formed by the sets

$$\mathcal{B}(K, O) := \{f \in \text{Aut}(X) : f(x) \in Ox \text{ and } f^{-1}(x) \in Ox \ \forall x \in K\}$$

where K runs over compact subsets and O runs over neighborhoods of the identity in X . In the sequel $\text{Aut}(X)$ is always equipped with the Birkhoff topology. It equals to the Arens *g-topology* [1, 4]. If X is compact then the Birkhoff topology coincides with the usual compact-open topology. If X is discrete then the Birkhoff topology on $\text{Aut}(X) \subset X^X$ coincides with the pointwise topology.

Lemma 2.8. *In each of the following cases the action of G on X is t -exact:*

- (1) [25] *Let X be a locally compact group and G be a subgroup of $\text{Aut}(X)$.*
- (2) *Let G be a topological subgroup of $\text{Homeo}(X)$, the group of all autohomeomorphisms of a compact space X with the compact open topology.*
- (3) *Let G be a subgroup of $\text{Is}(X, d)$ the group of all isometries of a metric space (X, d) with the pointwise topology.*

Proof. Straightforward. □

Remark 2.9. Every locally compact abelian group G can be embedded into the group $\text{Aut}(X)$, where X is a locally compact abelian group (with $X := \mathbb{T} \times G^*$, [25, Prop. 2.3]). What if G is not necessarily abelian? Is it true that every locally compact group can be embedded into $\text{Aut}(X)$ for some locally compact abelian X ? If yes, then [25, Thm 4.4] positively resolves Question 2.3. See also Remark 5.2.3.

2.2. From minimal dualities to minimal groups. In this subsection we recall some definitions and results from [25, 28].

Let E, F, A be abelian additive topological groups. A map $w : E \times F \rightarrow A$ is said to be *biadditive* if the induced mappings

$$w_x : F \rightarrow A, \quad w_f : E \rightarrow A, \quad w_x(f) := w(x, f) =: w_f(x)$$

are homomorphisms for all $x \in E$ and $f \in F$.

A biadditive mapping $w : E \times F \rightarrow A$ is *separated* if for every pair (x_0, f_0) of nonzero elements there exists a pair (x, f) such that $f(x_0) \neq 0_A$ and $f_0(x) \neq 0_A$.

A continuous separated biadditive mapping $w : (E, \sigma) \times (F, \tau) \rightarrow A$ is *minimal* if for every coarser pair (σ_1, τ_1) of Hausdorff group topologies $\sigma_1 \subseteq \sigma$, $\tau_1 \subseteq \tau$ such that $w : (E, \sigma_1) \times (F, \tau_1) \rightarrow A$ is continuous, it follows that $\sigma_1 = \sigma$ and $\tau_1 = \tau$.

Let $w : E \times F \rightarrow A$ be a continuous biadditive mapping. Consider the action: $w^\nabla : F \times (A \oplus E) \rightarrow A \oplus E$, $w^\nabla(f, (a, x)) = (a + w(x, f), x)$. Denote by $H(w) = (A \oplus E) \rtimes F$ the topological semidirect product of F and the direct sum $A \oplus E$. The group operation on $H(w)$ is defined as follows: for a pair

$$u_1 = (a_1, x_1, f_1), \quad u_2 = (a_2, x_2, f_2)$$

we define

$$u_1 u_2 = (a_1 + a_2 + f_1(x_2), x_1 + x_2, f_1 + f_2)$$

where, $f_1(x_2) = w(x_2, f_1)$. Then $H(w)$ becomes a Hausdorff topological group which is said to be a *generalized Heisenberg group* (induced by w).

Let G be a topological group and let $w : E \times F \rightarrow A$ be a continuous biadditive mapping. A continuous *birepresentation* of G in w is a pair (α_1, α_2) of continuous actions by group automorphisms $\alpha_1 : G \times E \rightarrow E$ and $\alpha_2 : G \times F \rightarrow F$ such that w is G -invariant, i.e., $w(gx, gf) = w(x, f)$.

The birepresentation ψ is said to be *t-exact* if $\ker(\alpha_1) \cap \ker(\alpha_2) = \{e\}$ and for every strictly coarser Hausdorff group topology on G the birepresentation does not remain continuous. For instance, if one of the actions α_1 or α_2 is t-exact then clearly ψ is t-exact.

Let ψ be a continuous G -birepresentation

$$\psi = (w : E \times F \rightarrow A, \alpha_1 : G \times E \rightarrow E, \alpha_2 : G \times F \rightarrow F).$$

The topological semidirect product $M(\psi) := H(w) \rtimes_{\pi} G$ is said to be the *induced group*, where the action $\pi : G \times H(w) \rightarrow H(w)$ is defined by

$$\pi(g, (a, x, f)) = (a, gx, gf).$$

Fact 2.10. *Let $w : E \times F \rightarrow A$ be a minimal biadditive mapping and A is a minimal group. Then*

- (1) [9, Corollary 5.2] *The Heisenberg group $H(w)$ is minimal.*
- (2) (See [25, Theorem 4.3] and [28]) *If ψ is a t-exact G -birepresentation in w then the induced group $M(\psi)$ is minimal.*

Fact 2.11. [25] *Let G be a locally compact abelian group and $G^* := \text{Hom}(G, \mathbb{T})$ be the dual (locally compact) group. Then the canonical evaluation mapping*

$$G \times G^* \rightarrow \mathbb{T}$$

is minimal and the corresponding Heisenberg group $H = (\mathbb{T} \oplus G) \rtimes G^$ is minimal.*

3. SOME FACTS ABOUT NON-ARCHIMEDEAN GROUPS AND UNIFORMITIES

3.1. Non-archimedean uniformities. For information on *uniform spaces*, we refer the reader to [13] (in terms of *entourages*) and to [19] (via *coverings*). If μ is a uniformity for X in terms of coverings, then the collection of elements of μ which are *finite* coverings of X forms a base for a topologically compatible uniformity for X which we denote by μ_{fin} (the precompact replica of μ).

A *partition* of a set X is a covering of X consisting of pairwise disjoint subsets of X . Due to Monna (see [36, p.38] for more details), a uniform space (X, μ) is *non-archimedean* if it has a base consisting of partitions of X . In terms of entourages, it is equivalent to saying that there exists a base \mathfrak{B} of the uniform structure such that every entourage $P \in \mathfrak{B}$ is an equivalence relation. Equivalently, iff its *large uniform dimension* (in the sense of Isbell [19, p. 78]) is zero.

A metric space (X, d) is said to be an *ultra-metric space* (or, *isosceles* [21]) if d is an *ultra-metric*, i.e., it satisfies *the strong triangle inequality*

$$d(x, z) \leq \max\{d(x, y), d(y, z)\}.$$

The definition of *ultra-semimetric* is the same as ultra-metric apart from the fact that the condition $d(x, y) = 0$ need not imply $x = y$. For every ultra-semimetric d on X every ε -covering $\{B(x, \varepsilon) : x \in X\}$ by the open balls is a clopen partition of X .

Furthermore, a uniformity is non-archimedean iff it is generated by a system $\{d_i\}_{i \in I}$ of *ultra-semimetrics*. The following result (up to obvious reformulations) is well known. See, for example, [19] and [18].

Lemma 3.1. *Let (X, μ) be a non-archimedean uniform space. Then both (X, μ_{fin}) and the uniform completion $(\widehat{X}, \widehat{\mu})$ of (X, μ) are non-archimedean uniform spaces.*

3.2. Non-archimedean groups. The class \mathcal{NA} of all non-archimedean groups is quite large. Besides the results of this section see Theorem 5.1 below. The prodiscrete (in particular, the profinite) groups are in \mathcal{NA} . All \mathcal{NA} groups are totally disconnected and for every locally compact totally disconnected group G both G and $Aut(G)$ are \mathcal{NA} (see Theorems 7.7 and 26.8 in [16]). Every abelian \mathcal{NA} group is embedded into a product of discrete groups.

The minimal groups $(\mathbb{Z}, \tau_p), S_X, Homeo(\{0, 1\}^{\aleph_0})$ (in items (1), (2) and (3) of Remark 2.1) are non-archimedean. By Theorem 4.1 the Heisenberg group $H_X = (\mathbb{Z}_2 \oplus V) \rtimes V^*$ is \mathcal{NA} for every Stone space X . It is well known that there exist 2^{\aleph_0} -many nonhomeomorphic metrizable Stone spaces.

Recall that, as it follows by results of Teleman [40], every topological group can be identified with a subgroup of $Homeo(X)$ for some compact X and also with a subgroup of $Is(M, d)$, topological group of isometries of some metric space (M, d) endowed with the pointwise topology (see also [34]). Similar characterizations are true for \mathcal{NA} with compact zero-dimensional spaces X and ultra-metric spaces (M, d) . See Lemma 3.2 and Theorem 5.1 below.

We will use later the following simple observations. Let X be a Stone space (compact zero-dimensional space) and G be a topological subgroup of $Homeo(X)$. For every finite clopen partition $P = \{A_1, \dots, A_n\}$ of X define the subgroup

$$M(P) := \{g \in G : gA_k = A_k \forall 1 \leq k \leq n\}.$$

Then all subgroups of this form defines a local base (subbase, if we consider only two-element partitions P) of the original compact-open topology on $G \subset Homeo(X)$. So for every Stone space X the topological group $Homeo(X)$ is non-archimedean. More generally, for every non-archimedean uniform space (X, μ) consider the group $Unif(X, \mu)$ of all uniform automorphisms of X (that is, the bijective functions $f : X \rightarrow X$ such that both f and f^{-1} are μ -uniform). Then $Unif(X, \mu)$ is a non-archimedean topological group in the topology induced by the uniformity of uniform convergence.

Lemma 3.2. *The following assertions are equivalent:*

- (1) G is a non-archimedean topological group.
- (2) The right (left) uniformity on G is non-archimedean.
- (3) $\dim \beta_G G = 0$, where $\beta_G G$ is the maximal G -compactification [29] of G .
- (4) G is a topological subgroup of $Homeo(X)$ for some Stone space X (where $w(X) = w(G)$).
- (5) G is a topological subgroup of $Unif(Y, \mu)$ for some non-archimedean uniformity μ on a set Y .

Proof. For the sake of completeness we give here a sketch of the proof. The equivalence of (1) and (3) was established by Pestov [33, Prop. 3.4]. The equivalence of (1), (2) and (3) is [29, Theorem 3.3].

(1) \Rightarrow (2) Let $\{H_i\}_{i \in I}$ be a local base at e (the neutral element of G), where each H_i is an open (hence, clopen) subgroup of G . Then the corresponding decomposition of $G = \cup_{g \in G} H_i g$ by right H_i -cosets defines an equivalence relation Ω_i and the set $\{\Omega_i\}_{i \in I}$ is a base of the right uniform structure μ_r on G .

(2) \Rightarrow (3) If the right uniformity μ is non-archimedean then by Lemma 3.1 the completion $(\widehat{X}, \widehat{\mu}_{fin})$ of its precompact replica (Samuel compactification of (X, μ)) is again non-archimedean. Now recall (see for example [29]) that this completion is just the greatest G -compactification $\beta_G G$ (the G -space analog of the Stone-Ćech compactification) of G .

(3) \Rightarrow (4) A result in [24] implies that there exists a zero-dimensional proper G -compactification X of the G -space G (the left action of G on itself) with $w(X) = w(G)$. Then the natural homomorphism $\varphi : G \rightarrow \text{Homeo}(X)$ is a topological group embedding.

(4) \Rightarrow (5) Trivial because $\text{Homeo}(X) = \text{Unif}(X, \mu)$ for compact X and its unique compatible uniformity μ .

(5) \Rightarrow (1) The non-archimedean uniformity μ has a base \mathfrak{B} where each $P \in \mathfrak{B}$ is an equivalence relation. Then the subsets

$$M(P) := \{g \in G : (gx, x) \in P \ \forall x \in X\}.$$

form a local base of G . Observe that $M(P)$ is a subgroup of G . \square

\mathcal{NA} -ness of a dense subgroup implies that of the whole group. Hence the Raikov-completion of \mathcal{NA} groups are again \mathcal{NA} . Subgroups, quotient groups and (arbitrary) products of \mathcal{NA} groups are also \mathcal{NA} . Moreover the class \mathcal{NA} is closed under group extensions.

Fact 3.3. [17, Theorem 2.7] *If both N and G/N are \mathcal{NA} , then so is G .*

For the readers convenience we reproduce here the proof from [17].

Proof. Let U be a neighborhood of e in G . We will find an open subgroup H contained in U . We choose neighborhoods U_0, V and W of e in G as follows. First let U_0 be such that $U_0^2 \subseteq U$. By the assumption, there is an open subgroup M of N contained in $N \cap U_0$. Let $V \subseteq U_0$ be open with $V = V^{-1}$ and $V^3 \cap N \subseteq M$. We denote by π the natural homomorphism $G \rightarrow G/N$. Since $\pi(V)$ is open in G/N , it contains an open subgroup K . We set $W = V \cap \pi^{-1}(K)$. We show that $W^2 \subseteq WM$. Suppose that $w_0, w_1 \in W$. Since $\pi(w_0), \pi(w_1) \in K$, we have $\pi(w_0 w_1) \in K$. So there is $w_2 \in W$ with $\pi(w_2) = \pi(w_0 w_1)$. Then $w_2^{-1} w_0 w_1 \in N \cap W^3 \subseteq M$, and hence $w_0 w_1 \in w_2 M$. Using this result and also the fact that M is a subgroup of N we obtain by induction that $W^k \subseteq WM \ \forall k \in \mathbb{N}$. Now let H be the subgroup of G generated by W . Clearly, $H = \bigcup_{k=1}^{\infty} W^k$. Then H is open and

$$H \subseteq WM \subseteq U_0^2 \subseteq U$$

as desired. \square

Corollary 3.4. *Suppose that G and H are non-archimedean groups and that H is a G -group. Then the semidirect product $H \rtimes G$ is non-archimedean.*

Example 3.5. (Dierolf and Schwanengel [6]) Every discrete group H is a group retract of a locally compact non-archimedean minimal group.

More precisely, let \mathbb{Z}_2 be the discrete cyclic group of order 2 and let H be a discrete topological group. Let $G := \mathbb{Z}_2^H$ be endowed with the product topology. Then

$$\sigma : H \rightarrow \text{Aut}(G), \quad \sigma(k)((x_h)_{h \in H}) := (x_{hk})_{h \in H} \quad \forall k \in H, (x_h)_{h \in H} \in G$$

is a homomorphism. The topological semidirect (wreath) product $G \rtimes_{\sigma} H$ is a locally compact non-archimedean minimal group having H as a retraction.

Corollary 4.5 below provides a generalization.

4. THE HEISENBERG GROUP ASSOCIATED TO A STONE SPACE

Let X be a Stone space. Let $V = (V(X), \Delta)$ be the discrete group of all clopen subsets in X with respect to the symmetric difference. As usual one may identify V with the group $V := C(X, \mathbb{Z}_2)$ of all continuous functions $f : X \rightarrow \mathbb{Z}_2$.

Denote by $V^* := \text{hom}(V, \mathbb{T})$ the Pontryagin dual of V . Since V is a Boolean group every character $V \rightarrow \mathbb{T}$ can be identified with a homomorphism into the unique 2-element subgroup $\Omega_2 = \{1, -1\}$, a copy of \mathbb{Z}_2 . The same is true for the characters on V^* , hence the natural evaluation map $w : V \times V^* \rightarrow \mathbb{T}$ ($w(x, f) = f(x)$) can be restricted naturally to $V \times V^* \rightarrow \mathbb{Z}_2$. Under this identification $V^* := \text{hom}(V, \mathbb{Z}_2)$ is a closed (hence compact) subgroup of the compact group \mathbb{Z}_2^V . Clearly, the groups V and \mathbb{Z}_2 , being discrete, are non-archimedean. The group $V^* = \text{hom}(V, \mathbb{Z}_2)$ is also non-archimedean since it is a subgroup of \mathbb{Z}_2^V .

In the sequel G is an arbitrary non-archimedean group. X is its associated Stone space, that is, G is a topological subgroup of $\text{Homeo}(X)$ (see Lemma 3.2). V and V^* are the non-archimedean groups associated to the Stone space X we have mentioned at the beginning of this subsection. We intend to show using the technique introduced in Subsection 2.2, among others, that G is a topological group retract of a non-archimedean minimal group.

Theorem 4.1. *For every Stone space X the (locally compact 2-step nilpotent) Heisenberg group $H = (\mathbb{Z}_2 \oplus V) \rtimes V^*$ is minimal and non-archimedean.*

Proof. Using Fact 2.11 (or, by direct arguments) it is easy to see that the continuous separated biadditive mapping

$$w : V \times V^* \rightarrow \mathbb{Z}_2$$

is minimal. Then by Fact 2.10.1 the corresponding Heisenberg group H is minimal. H is non-archimedean by Corollary 3.4. \square

Lemma 4.2. *Let G be a topological subgroup of $\text{Homeo}(X)$ for some Stone space X (see Lemma 3.2). Then $w(G) \leq w(X) = w(V) = |V| = w(V^*)$.*

Proof. Use the facts that in our setting V is discrete and V^* is compact. Recall also that (see e.g., [13, Thm. 3.4.16])

$$w(C(A, B)) \leq w(A) \cdot w(B)$$

for every locally compact Hausdorff space A (where the space $C(A, B)$ is endowed with the compact-open topology). \square

The action of $G \subset \text{Homeo}(X)$ on X and the functoriality of the Stone duality induce the actions on V and V^* . More precisely, we have

$$\alpha : G \times V \rightarrow V, \quad \alpha(g, A) = g(A)$$

and

$$\beta : G \times V^* \rightarrow V^*, \quad \beta(g, f) := gf, \quad (gf)(A) = f(g^{-1}(A)).$$

Every translation under these actions is a continuous group automorphism. Therefore we have the associated group homomorphisms:

$$i_\alpha : G \rightarrow \text{Aut}(V)$$

$$i_\beta : G \rightarrow \text{Aut}(V^*)$$

The pair (α, β) is a birepresentation of G on $w : V \times V^* \rightarrow \mathbb{Z}_2$. Indeed,

$$w(gf, g(A)) = (gf)(g(A)) = f(g^{-1}(g(A))) = f(A) = w(f, A).$$

Lemma 4.3. (1) *Let G be a topological subgroup of $\text{Homeo}(X)$ for some Stone space X . The action $\alpha : G \times V \rightarrow V$ induces a topological group embedding $i_\alpha : G \hookrightarrow \text{Aut}(V)$.*

(2) *The natural evaluation map*

$$\delta : X \rightarrow V^*, \quad x \mapsto \delta_x, \quad \delta_x(f) = f(x)$$

is a topological G -embedding.

(3) *The action $\beta : G \times V^* \rightarrow V^*$ induces a topological group embedding $i_\beta : G \hookrightarrow \text{Aut}(V^*)$.*

(4) *The pair $\psi := (\alpha, \beta)$ is a t-exact birepresentation of G on $w : V \times V^* \rightarrow \mathbb{Z}_2$.*

Proof. (1) Since V is discrete, the Birkhoff topology on $\text{Aut}(V)$ coincides with the pointwise topology. Recall that the topology on G inherited from $\text{Homeo}(X)$ is defined by the local subbase

$$H_A := \{g \in G : gA = A\}$$

where A runs over nonempty clopen subsets in X . Each H_A is a clopen subgroup of G . On the other hand the pointwise topology on $i_\alpha(G) \subset \text{Aut}(V)$ is generated by the local subbase of the form

$$\{i_\alpha(g) \in i_\alpha(G) : gA = A\}.$$

So, i_α is a topological group embedding.

(2) Straightforward.

(3) Since V^* is compact, the Birkhoff topology on $\text{Aut}(V^*)$ coincides with the compact open topology.

The action of G on X is t-exact. Hence, by (2) it follows that the action β cannot be continuous under any weaker group topology on G . Now it suffices to show that the action $\beta : G \times V^* \rightarrow V^*$ is continuous.

The topology on $V^* \subset \mathbb{Z}_2^V$ is a pointwise topology inherited from \mathbb{Z}_2^V . So it is enough to show that for every finite family A_1, A_2, \dots, A_m of nonempty clopen subsets in X there exists a neighborhood O of $e \in G$ such that $(g\psi)(A_k) = \psi(A_k)$ for every $g \in O$, $\psi \in V^*$ and $k \in \{1, \dots, m\}$. Since $(g\psi)(A_k) = \psi(g^{-1}(A_k))$ we may define O as

$$O := \bigcap_{k=1}^m H_{A_k}$$

(Another way to prove (3) is to combine (1) and [16, Theorem 26.9]).

(4) $\psi = (\alpha, \beta)$ is a birepresentation as we already noticed before this lemma. The t-exactness is a direct consequence of (1) or (3) together with Fact 2.8.1. \square

Theorem 4.4. *The topological group*

$$M := M(\psi) = H(w) \lambda_\pi G = ((\mathbb{Z}_2 \oplus V) \lambda V^*) \lambda_\pi G$$

is a non-archimedean minimal group.

Proof. By Corollary 3.4, M is non-archimedean. Use Theorem 4.1, Lemma 4.3 and Fact 2.10 to conclude that M is a minimal group. \square

Corollary 4.5. *Every (locally compact) non-archimedean group G is a group retract of a (resp., locally compact) minimal non-archimedean group M where $w(G) = w(M)$.*

Proof. Apply Theorem 4.4 taking into account Fact 2.8.1 and the local compactness of the groups \mathbb{Z}_2, V, V^* (resp., G). \square

Remark 4.6. Another proof of Corollary 4.5 can be obtained by the following way. By Lemma 4.3 every non-archimedean group G can be treated as a subgroup of the group of all automorphisms $Aut(V^*)$ of the compact abelian group V^* . In particular, the action of G on V^* is t-exact. The group V^* being compact is minimal. Since V^* is abelian one may apply [25, Cor. 2.8] which implies that $V^* \lambda G$ is a minimal topological group. By Lemmas 3.2 and 4.2 we may assume that $w(G) = w(V^* \lambda G)$.

5. MORE CHARACTERIZATIONS OF NON-ARCHIMEDEAN GROUPS

The results and discussions above lead to the following list of characterizations (compare Lemma 3.2).

Theorem 5.1. *The following assertions are equivalent:*

- (1) G is a non-archimedean topological group.
- (2) G is a topological subgroup of the automorphisms group (with the pointwise topology) $Aut(V)$ for some discrete Boolean ring V (where $|V| = w(G)$).
- (3) G is embedded into the symmetric topological group S_κ (where $\kappa = w(G)$).
- (4) G is a topological subgroup of the group $Is(X, d)$ of all isometries of an ultra-metric space (X, d) , with the topology of pointwise convergence (where $w(X) = w(G)$).
- (5) The right (left) uniformity on G can be generated by a system of right (left) invariant ultra-semimetrics.
- (6) G is a topological subgroup of the automorphism group $Aut(K)$ for some compact abelian group K (with $w(K) = w(G)$).

Proof. (1) \Rightarrow (2) As in Lemma 4.3.1.

(2) \Rightarrow (3) Simply take the embedding of G into $S_V \cong S_\kappa$, with $\kappa = |V| = w(G)$.

(3) \Rightarrow (4) Consider the two-valued ultra-metric on the discrete space X with $|X| = \kappa$.

(4) \Rightarrow (5) For every $z \in X$ consider the left invariant ultra-semimetric

$$\rho_z(s, t) := d(sz, tz).$$

Then the collection $\{\rho_z\}_{z \in X}$ generates the left uniformity of G .

(5) \Rightarrow (1) Observe that for every right invariant ultra-semimetric ρ on G and $n \in \mathbb{N}$ the set

$$H := \{g \in G : \rho(g, e) < 1/n\}$$

is an open subgroup of G .

(3) \Rightarrow (6) Consider the natural (permutation of coordinates) action of S_κ on the usual Cantor additive group \mathbb{Z}_2^κ . It is easy to see that this action implies the natural embedding of S_κ (and hence, of its subgroup G) into the group $\text{Aut}(\mathbb{Z}_2^\kappa)$.

(6) \Rightarrow (1) Let K be a compact abelian group and K^* be its (discrete) dual. By [16, Theorem 26.9] the natural map $\nu : g \mapsto \tilde{g}$ defines a topological anti-isomorphism of $\text{Aut}(K)$ onto $\text{Aut}(K^*)$. Now, K^* is discrete, hence, $\text{Aut}(K^*)$ is non-archimedean as a subgroup of the symmetric group S_{K^*} . Since G is a topological subgroup of $\text{Aut}(K)$ we conclude that G is also non-archimedean (because its opposite group $\nu(G)$ being a subgroup of $\text{Aut}(K^*)$ is non-archimedean). \square

Remark 5.2. (1) Note that the universality of $S_\mathbb{N}$ among Polish groups was proved by Becker and Kechris (see [3, Theorem 1.5.1]). The universality of S_κ for \mathcal{NA} groups with weight $\leq \kappa$ can be proved similarly. It appears in the work of Higasi-kawa, [17, Theorem 3.1]. For universal non-archimedean actions see [29].

- (2) Isometry groups of ultra-metric spaces studied among others by Lemin and Smirnov [22]. Note for instance that [22, Theorem 3] implies the equivalence (1) \Leftrightarrow (4). Lemin [20] established that a metrizable group is non-archimedean iff it has a left invariant compatible ultra-metric.
- (3) By item (6) of Theorem 5.1, the class of all topological subgroups of $\text{Aut}(K)$, where K runs over all compact abelian groups K , is \mathcal{NA} . It would be interesting (see Remark 2.9) to characterize the corresponding classes of topological groups when K runs over all: a) locally compact abelian groups; b) compact groups; c) locally compact groups.
- (4) In item (6) of Theorem 5.1 it is essential that the compact group K is *abelian*. For every connected non-abelian compact group K the group $\text{Aut}(K)$ is not \mathcal{NA} containing a nontrivial continuous image of K .
- (5) Every non-archimedean group admits a topologically faithful unitary representation on a Hilbert space. It is straightforward for S_X (hence, also for its subgroups) via permutation of coordinates linear action.

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