# Finiteness conditions on the injective hull of simple modules.

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jointly with



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# Definition (Injective hull)

The injective hull E(M) of a (left R)-module M is an injective module such that M embeds as an essential submodule in it, i.e.  $M \cap U \neq 0$  for all  $0 \neq U \subseteq E(M)$ .

## Theorem (Matlis, 1960)

The injective hull of a simple module over a commutative Noetherian ring is Artinian.

#### Question

What can be said if either "commutative" or "Noetherian" is dropped?

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# Definition (Jans, 1968)

A ring is co-Noetherian if the injective hull of any simple module is Artinian.

## Proposition (Hirano, 2000)

The 1st Weyl algebra  $A_1(\mathbb{Z})$  is co-Noetherian, but  $A_1(\mathbb{Q})$  is not.

For any infinite set  $\{x - a_1, x - a_2, \ldots\}$  in  $\mathbb{Q}[x]$ , the localisations

$$\mathbb{Q}[x]_{S_1} \supset \mathbb{Q}[x]_{S_2} \supset \cdots \supset \mathbb{Q}[x]_{S_n} \supset \cdots \supset \mathbb{Q}[x]$$

form a descending chain of  $A_1(\mathbb{Q})$ -modules, where  $S_n$  is the multiplicatively closed set generated by  $x - a_i$ , for  $i \ge n$ .  $\Rightarrow E(\mathbb{Q}[x])$  is not an Artinian  $A_1(\mathbb{Q})$ -module.

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## Definition

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any injective hull of a simple *R*-module is locally Artinian.

#### Definition

A left ideal I of R is subdirectly irreducible (SDI) if R/I has an essential simple socle.

R satisfies ( $\diamond$ ) if and only if R/I is Artinian for all left SDI's.

#### Proposition (Krull intersection)

Suppose finitely generated Artinian left R-modules are Noetherian. If R satisfies ( $\diamond$ ) then  $\bigcap (I + \text{Jac}(R)^n) = I$  for any left ideal I. U. PORTO

# Any semiprime Noetherian ring of Krull dimension $\leq 1$ satisfies ( $\diamond$ ).

# Example (Goodearl-Schofield, 1986)

 $\exists$  Noetherian ring with Krull dimension 1 not satisfying ( $\diamond$ ).

Relies on a skew field extension  $F \subseteq E$  with E finite dimensional over F on the right, but transcendental on the left. Then  $\begin{pmatrix} E[t] & E[t] \\ 0 & F[t] \end{pmatrix}$ does not satisfy ( $\diamond$ ), but is Noetherian and has
Krull dimension 1.

#### Theorem (Jategaonkar, 1974)

Any fully bounded Noetherian ring satisfies ( $\diamond$ ). In particular any Noetherian semiprime PI-ring satisfies ( $\diamond$ ).

#### Theorem (Carvalho, Musson, 2011)

The q-plane  $R = K_q[x, y] = K\langle x, y \rangle / \langle xy - qyx \rangle$  satisfies ( $\diamond$ ) if and only if q is a root of unity.

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If q is not a root of unity, then

$$0 
ightarrow R/R(xy-1) 
ightarrow R/R(xy-1)(x-1) 
ightarrow R/R(x-1) 
ightarrow 0$$

is an essential embedding of a simple into a non-Artinian module.

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# Weyl algebras

 $A_1(K)$  satisfies ( $\diamond$ ) since it is a Noetherian domain of Kdim 1

#### Theorem (Stafford, 1985)

Let n > 1 and  $\lambda_2, \ldots, \lambda_n \in \mathbb{C}$  be linearly independent over  $\mathbb{Q}$ . Then

$$\alpha = x_1 + \left(\sum_{i=2}^n \lambda_i y_i x_i\right) y_1 + \sum_{i=2}^n (x_i + y_i) \in A_n = A_n(\mathbb{C})$$

generates a maximal left ideal of An and

$$0 \rightarrow A_n/A_n \alpha \longrightarrow A_n/A_n \alpha x_1 \longrightarrow A_n/A_n x_1 \rightarrow 0$$

is an essential embedding with  $Kdim(A_n/A_nx_1) = n - 1$ .

#### Example

Let  $\mathfrak{h}_n = \operatorname{span}\{x_1, \ldots, x_n, y_1, \ldots, y_n, z\}$  with  $[x_i, y_i] = z$ . Then  $U(\mathfrak{h}_n)$  satisfies ( $\diamond$ ) if and only if n = 1 as  $U(\mathfrak{h}_n)/\langle z - 1 \rangle \simeq A_n$ .

# Theorem (Hatipoglu-L. 2012)

Let  $\mathfrak{g}$  be a finite dimensional nilpotent complex Lie (super)algebra. Then  $U(\mathfrak{g})$  satisfies ( $\diamond$ ) if and only if

- **1** g has an Abelian ideal of codimension 1 or
- 3  $\mathfrak{g} \simeq \mathfrak{h} \times \mathfrak{a}$  with a Abelian and  $\mathfrak{h} = \operatorname{span}(e_1, \ldots, e_m)$  with either

(i) 
$$m = 5$$
 and  $[e_1, e_2] = e_3$ ,  $[e_1, e_3] = e_4$ ,  $[e_2, e_3] = e_5$  or  
(ii)  $m = 6$  and  $[e_1, e_3] = e_4$ ,  $[e_2, e_3] = e_5$ ,  $[e_1, e_2] = e_6$ .

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# Ore extensions

# Theorem (Carvalho, Hatipoglu, L. 2015)

Let  $\sigma$  be an automorphism of K and d a  $\sigma$ -derivation. Then  $K[x][y; \sigma, d]$  satisfies ( $\diamond$ ) if and only if (i)  $\sigma = id$  and d is locally nilpotent or

(ii)  $\sigma \neq id$  has finite order.

# Theorem (Vinciguerra, 2017)

Let  $R = \mathbb{C}[x, y]$  and d a non-zero derivation of it. Then  $S = R[\theta, d]$  satisfies ( $\diamond$ ) if and only if

(i) every maximal ideal of R contains an Darboux element

(ii)  $d(R) \subseteq Rp$ , for any Darboux element p contained in a d-stable maximal ideal.

An element is Darboux if it generates a *d*-stable ideal.

## Theorem (Brown, Carvalho, Matczuk 2017)

Let K be an uncountable field and R a commutative affine K-algebra, and let  $\alpha$  be a K-algebra automorphism of R. Then  $S = R[\theta; \alpha]$  satisfies ( $\diamond$ ) if and only if all simple S-modules are finite dimensional over K.

Many more interesting results and open question can be found in the paper "Simple modules and their essential extensions for skew polynomial rings" by Brown, Carvalho and Matczuk (arXiv:1705.06596).

# From now on R will be commutative.

# Theorem (Vamos, 1968)

The following statements are equivalent for a commutative ring R.

- (a) The injective hull of a simple module is Artinian.
- (b) The localisation of R by a maximal ideal is Noetherian.

## Theorem

The following statements are equivalent for a commutative ring R.

(a) R satisfies ( $\diamond$ )

(b)  $R_{\mathfrak{m}}$  satisfies ( $\diamond$ ) for all  $\mathfrak{m} \in \operatorname{MaxSpec}(R)$ .

 $E(R/\mathfrak{m})$  is an injective hull of  $R_\mathfrak{m}/\mathfrak{m}R_\mathfrak{m}$  as  $R_\mathfrak{m}$ -module.

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#### Theorem

For a local ring  $(R, \mathfrak{m})$  the following are equivalent:

(a) R is (co-)Noetherian.

(b) R satisfies ( $\diamond$ ) and  $\mathfrak{m}/\mathfrak{m}^2$  is finitely generated.

(c)  $\bigcap (I + \mathfrak{m}^n) = I$  for any ideal I and  $\mathfrak{m}/\mathfrak{m}^2$  is finitely generated.

#### Proposition

If R has nilpotent radical, then the following are equivalent

(a) R satisfies ( $\diamond$ )

(b) For any module M: Soc(M) f.g implies Soc(M/Soc(M)) f.g.

#### Example

Any local ring  $(R, \mathfrak{m})$  with  $\mathfrak{m}^2 = 0$  satisfies ( $\diamond$ ), because if I is SDI, then  $\mathfrak{m}/I \cap \mathfrak{m}$  has dimension  $\leq 1$  as vector space over  $R/\mathfrak{m}$ , i.e. R/I has length at most 2.

For example the trivial extension  $R = \left\{ \begin{pmatrix} a & v \\ 0 & a \end{pmatrix} \mid a \in K, v \in V \right\}.$ 

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# Radical cube zero

# Theorem (Local rings with radical cube zero)

Let  $(R, \mathfrak{m})$  be local with  $\mathfrak{m}^3 = 0$ . Then there exists a bijective correspondence between SDI's I not containing Soc(R) and non-zero  $f \in Hom(Soc(R), F)$ . Corresponding pairs (I, f) satisfy:

$$\operatorname{Soc}(R) + I = V_f := \{a \in \mathfrak{m} \mid f(\mathfrak{m} a) = 0\}.$$

Then R satisfies ( $\diamond$ ) iff dim $(\mathfrak{m}/V_f) < \infty$  for all  $f \in Soc(R)^*$ .

#### Theorem

Let  $(R, \mathfrak{m})$  be a local ring with residue field F and  $\mathfrak{m}^3 = 0$ . Then

*R* satisfies ( $\diamond$ ) if and only if  $\operatorname{gr}(R) = F \oplus (\mathfrak{m}/\mathfrak{m}^2) \oplus \mathfrak{m}^2$  does.

#### Definition

For a field F, vector spaces V and W and a symmetric bilinear form  $\beta: V \times V \to W$  we can consider the generalised matrix ring

$$\left\{ \left( \begin{array}{ccc} a & v & w \\ 0 & a & v \\ 0 & 0 & a \end{array} \right) \mid a \in F, v \in V, w \in W \right\}$$

which we identify by  $S = F \times V \times W$  with multiplication

$$(a_1, v_1, w_1)(a_2, v_2, w_2) = (a_1a_2, a_1v_2 + v_1a_2, a_1w_2 + \beta(v_1, v_2) + w_1a_2).$$

Then  $\operatorname{Soc}(S) = 0 \times V_{\beta}^{\perp} \times W$  where

$$V_{\beta}^{\perp} = \{ v \in V \mid \beta(V, v) = 0 \}.$$

Clearly  $\mathfrak{m} = 0 \times V \times W$  and  $\mathfrak{m}^2 = 0 \times 0 \times \operatorname{Im}(\beta)$ .

## Examples

Let  $F = \mathbb{R}$  and V = C([0,1]), space of continuous real valued functions on [0,1]. Define  $\beta : V \times V \to \mathbb{R}$  by

$$\beta(f,g) = \int_0^1 f(x)g(x)dx,$$

then  $S = \mathbb{R} \times V \times \mathbb{R}$  has an 1-dimensional **essential** socle, but S is not Artinian, i.e. S does not satisfy ( $\diamond$ ).

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#### Example

Let *F* be any field and *V* be any vector space with basis  $\{v_i : i \ge 0\}$ . Define

$$eta(v_i, v_j) = \left\{ egin{array}{cc} 1 & (i,j) = (0,0) \\ 0 & ext{else} \end{array} 
ight.$$

Then  $S = F \times V \times F$  satisfies ( $\diamond$ ), because

 $\mathfrak{m}/\mathrm{Soc}(S) = (\mathbf{0} imes V imes F)/(\mathbf{0} imes V_{eta}^{\perp} imes F) \simeq V/V_{eta}^{\perp} \simeq F$ 

Note that  $S = \operatorname{gr} \left( F[x_0, x_1, x_2 \dots] / \langle x_0^3, x_i x_j : (i, j) \neq (0, 0) \rangle \right).$ 

Here:  $\beta$  not non-degenerated  $\Rightarrow$  pass to  $F \times V/V_{\beta}^{\perp} \times F$ .

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#### Theorem

Let  $(R, \mathfrak{m})$  be a local ring with residue field F and  $\mathfrak{m}^3 = 0$ . Then the following are equivalent:

- (a) R does not satisfies ( $\diamond$ )
- (b) *R* has a factor *R*/*I* such that gr(R/I) has the form  $F \times V \times F$  for a non-degenerated form  $\beta : V \times V \to F$  and  $dim(V) = \infty$ .

Let A be an F-algebra. Then  $S = F \times A \times A$  becomes a ring using the multiplication  $\mu$  as bilinear form. Since  $\mu$  is non-degenerated,  $Soc(S) = 0 \times 0 \times A$ . Hence  $Soc(S)^* = A^*$ . For any  $f \in A^*$ :

 $V_f = \{a \in A : f(Aa) = 0\}$  is the largest ideal contained in ker(f).

Hence  $A/V_f$  is finite dimensional if and only if  $f \in A^0$ .

#### Proposition

 $S = F \times A \times A$  satisfies ( $\diamond$ ) if and only if for any  $A^* = A^0$ .

Example:  $A = F \times V$  the trivial extension satisfies  $A^* = A^0$ .

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#### Example

Let char(F) = 0 and A = F[x]. Set  $f(x^n) = \frac{1}{n+1}$  for any  $n \ge 0$ . Then the only ideal contained in ker(f) is the zero ideal, i.e.

 $V_f = \{0\}.$ 

Therefore,  $\beta = f \circ \mu : F[x] \times F[x] \to F$  is a non-degenerated symmetric bilinear form and  $S = F \times F[x] \times F$  does not satisfy ( $\diamond$ ).

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Thank you for your attention!

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