

# Abelian, nilpotent and solvable quandles

David Stanovský

jointly with

M. Bonatto, P. Jedlička, A. Pilitowska, A. Zamojska-Dzienio

Charles University, Prague, Czech Republic

whose students are on strike today starting 12:00

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# Goal

... tell you about the Gumm-Smith commutator theory

... describe abelian / solvable / nilpotent quandles

... **Corollary:** topologically slice knots cannot be colored by latin quandles

# Abelian groups and modules

abelian  $\neq$  commutative

**Observation:** Abelian groups =  $\mathbb{Z}$ -modules

... and the only groups that can be considered as modules are abelian groups

$\Rightarrow$  **Idea:** Jonathan D. H. Smith (1970s): abelian = "module-like"

In what sense, "module-like" ?

# Abelian groups and modules

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$\Rightarrow$  **Idea:** Jonathan D. H. Smith (1970s): abelian = "module-like"

In what sense, "module-like" ?

... module up to a selection of operations ... *too strong*

... embeds a module ... *no good abstract description*

... **the term condition**

# Abelian algebras

*algebra* = a set + a collection of basic operations

*term operation* = composition of basic operations

*polynomial operation* = composition of basic operations and constants

An algebra  $A$  is called **abelian** if

$$t(a, u_1, \dots, u_n) = t(a, v_1, \dots, v_n) \Rightarrow t(b, u_1, \dots, u_n) = t(b, v_1, \dots, v_n)$$

for every term operation  $t(x, y_1, \dots, y_n)$  and every  $a, b, u_i, v_i$  in  $A$ .

Equivalently, if the diagonal is a congruence block on  $A^2$ .

## Observation

*Modules are abelian.*

**Proof:**  $t(x, y_1, \dots, y_n) = rx + \sum r_j y_j$ , cancel  $ra$ , add  $rb$ .

## Abelian groups, quandles

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for every term operation  $t(x, y_1, \dots, y_n)$  and every  $a, b, u_i, v_i$ .

### Observation

*An abelian monoid is commutative and cancellative.*

**Proof:**  $t(x, y, z) = yxz$ ,  $a1 = 1a \Rightarrow ab = 1ba$

$t(x, y) = xy$ ,  $ab = ac \Rightarrow 1b = 1c$

### Observation

*An abelian quandle is medial.*

**Proof:**  $t(x, y, u, v) = (xy)(uv)$ ,

$(yy)(uv) = (yu)(yv) \Rightarrow (xy)(uv) = (xu)(yv)$

## Abelian algebras = modules, sometimes

$A$  is a *polynomial reduct* of  $B$  = basic operations of  $A$  are polynomial operations of  $B$

$A, B$  are *polynomially equivalent* = both ways

### Observation

*Polynomial reducts of modules are abelian.*

*Mal'tsev operation:*  $m(x, y, y) = m(y, y, x) = x$

### Theorem (Gumm-Smith 1970s)

*TFAE for algebras with a Mal'tsev polynomial operation:*

- 1 *abelian*
- 2 *polynomially equivalent to a module*

*Examples:* groups, loops, quasigroups

*Non-examples:* quandles, monoids, semigroups

Abelian algebras = submodules, usually

*polynomial subreduct* = subalgebra of a reduct

## Observation

*Polynomial subreducts of modules are abelian.*

The converse implication is

- **false** in general [Quackenbush 1980s]
- but known counterexamples are rare and unnatural
- **true** for algebras in a variety with no “algebraically trivial” algebras (e.g. when operations are essentially unary) [Kearnes, Szendrei 1990s]
- **true** for finite simple algebras [Hobby, McKenzie 1980s]
- **true** for quandles [JPSZ]



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- **true** for finite simple algebras [Hobby, McKenzie 1980s]
- **true** for quandles [JPSZ]

Remember:

**abelian** = **abstract term condition** = (almost always) **submodule**

Coming next: abelianness for congruences

## Solvability and nilpotence

A group  $G$  is **solvable**, resp. **nilpotent**, if there are  $N_i \trianglelefteq G$  such that

$$1 = N_0 \leq N_1 \leq \dots \leq N_k = G$$

and  $N_{i+1}/N_i$  is an **abelian**, resp. **central** subgroup of  $G/N_i$ , for all  $i$ .

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An arbitrary algebraic structure  $A$  is **solvable**, resp. **nilpotent**, if there are congruences  $\alpha_i$  such that

$$0_A = \alpha_0 \leq \alpha_1 \leq \dots \leq \alpha_k = 1_A$$

and  $\alpha_{i+1}/\alpha_i$  is an **abelian**, resp. **central** congruence of  $A/\alpha_i$ , for all  $i$ .

Need a good notion of *abelianness* and *centrality* for congruences.

## Solvability and nilpotence, via commutator

$$G^{(0)} = G_{(0)} = G, \quad G_{(i+1)} = [G_{(i)}, G_{(i)}], \quad G^{(i+1)} = [G^{(i)}, G]$$

A group  $G$  is

- **solvable** iff  $G_{(n)} = 1$  for some  $n$
- **nilpotent** iff  $G^{(n)} = 1$  for some  $n$

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$$\alpha^{(0)} = \alpha_{(0)} = 1_A, \quad \alpha_{(i+1)} = [\alpha_{(i)}, \alpha_{(i)}], \quad \alpha^{(i+1)} = [\alpha^{(i)}, 1_A]$$

An arbitrary algebraic structure  $A$  is

- **solvable** iff  $\alpha_{(n)} = 0_A$  for some  $n$
- **nilpotent** iff  $\alpha^{(n)} = 0_A$  for some  $n$

Need a good notion of *commutator of congruences*.

# Commutator theory

[mid 1970s by Smith, Gumm, Herrmann, ..., the Freese-McKenzie 1987 book]

*Centralizing relation* for congruences  $\alpha, \beta, \delta$  of  $A$ :

$C(\alpha, \beta; \delta)$  iff for every term  $t(x, y_1, \dots, y_n)$  and every  $a \equiv_{\alpha} b$ ,  $u_i \equiv_{\beta} v_i$

$$t(a, u_1, \dots, u_n) \equiv_{\delta} t(a, v_1, \dots, v_n) \Rightarrow t(b, u_1, \dots, u_n) \equiv_{\delta} t(b, v_1, \dots, v_n)$$

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The *commutator*  $[\alpha, \beta]$  is the smallest  $\delta$  such that  $C(\alpha, \beta; \delta)$ .

A congruence  $\alpha$  is called

- **abelian** if  $C(\alpha, \alpha; 0_A)$ , i.e., if  $[\alpha, \alpha] = 0_A$ .
- **central** if  $C(\alpha, 1_A; 0_A)$ , i.e., if  $[\alpha, 1_A] = 0_A$ .

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Fact (not difficult, certainly not obvious)

*In groups, this gives the usual commutator, abelianness, centrality.*

Deep theory: works well in varieties with modular congruence lattices.



# Quandles

An algebraic structure  $(Q, *, \setminus)$  is called a *quandle* if

- $x * x = x$
- all left translations  $L_x(y) = x * y$  are automorphisms, with  $L_x^{-1}(y) = x \setminus y$ .

*Multiplication group, displacement group:*

$$\text{LMlt}(Q) = \langle L_x : x \in Q \rangle \leq \text{Aut}(Q)$$

$$\text{Dis}(Q) = \langle L_x L_y^{-1} : x, y \in Q \rangle \leq \text{LMlt}(Q)$$

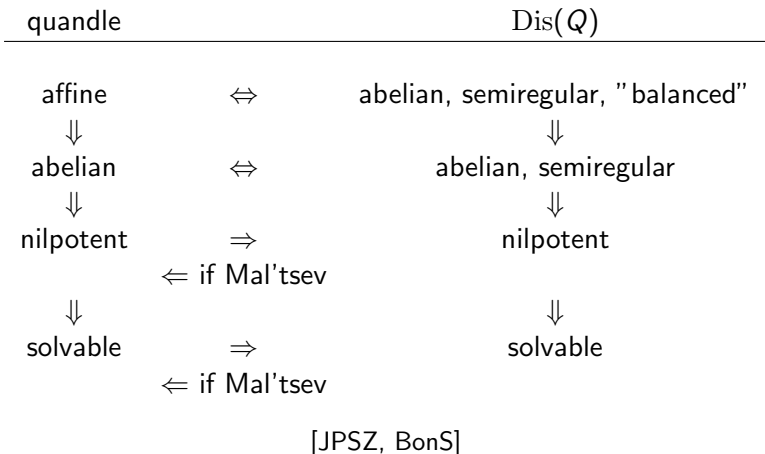
A quandle is called *connected* if  $\text{LMlt}(Q)$  is **transitive** on  $Q$ .

*Affine quandles* (aka Alexander)  $\text{Aff}(A, f)$ :

$x * y = (1 - f)(x) + f(y)$  on an abelian group  $A$ ,  $f \in \text{Aut}(A)$

... i.e., a reduct of a  $\mathbb{Z}[t, t^{-1}]$ -module  $(A, +, f)$

# Big picture



## Fact

*A quandle has a Mal'tsev operation iff all subquandles are connected.*

## Quandles and abelianness

### Theorem (JPSZ)

TFAE for a quandle  $Q$ :

- 1 *abelian*
- 2 *subquandle of an affine quandle*
- 3  $\text{Dis}(Q)$  *abelian, semiregular*
- 4  $Q \simeq \text{Ext}(A, f, \bar{d})$ , a certain kind of *extension of  $\text{Aff}(A, f)$*

### Theorem (JPSZ)

TFAE for a quandle  $Q$ :

- 1 *abelian and "balanced orbits"*
- 2 *affine*
- 3  $\text{Dis}(Q)$  *abelian, semiregular and "balanced occurrences of generators"*
- 4  $Q \simeq \text{Ext}(A, f, \bar{d})$  and  $\bar{d}$  is a *multi-transversal of  $A/\text{Im}(1 - f)$*

## Congruences of quandles

Let  $N(Q) = \{N \leq \text{Dis}(Q) : N \text{ is normal in } \text{LMlt}(Q)\}$

There is a Galois correspondence

$$\text{Con}(Q) \longleftrightarrow N(Q)$$

$$\alpha \rightarrow \text{Dis}_\alpha(Q) = \langle L_x L_y^{-1} : x \alpha y \rangle$$

$$\alpha_N = \{(x, y) : L_x L_y^{-1} \in N\} \leftarrow N$$

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## Proposition

*TFAE for  $\alpha, \beta \in \text{Con}(Q)$ ,  $Q$  a quandle:*

- ①  $\alpha$  centralizes  $\beta$  over  $0_Q$ , i.e.,  $C(\alpha, \beta; 0_Q)$
- ②  $\text{Dis}_\beta(Q)$  centralizes  $\text{Dis}_\alpha(Q)$  and acts  $\alpha$ -semiregularly on  $Q$

$\alpha$ -semiregularly means  $g(a) = a \Rightarrow g(b) = b$  for every  $b \stackrel{\alpha}{\equiv} a$

# Abelian congruences and solvable quandles

## Theorem

TFAE for a congruence  $\alpha$  of a quandle  $Q$ :

- 1  $\alpha$  is *abelian*
- 2  $\text{Dis}_\alpha(Q)$  is *abelian* and *acts  $\alpha$ -semiregularly*
- 3  $Q$  is an *abelian extension* of  $F = Q/\alpha$ , i.e.,  $(F \times A, *)$  with
$$(x, a) * (y, b) = (xy, \varphi_{x,y}(a) + \psi_{x,y}(b) + \theta_{x,y})$$
where  $A$  is an abelian group,  $\varphi : Q^2 \rightarrow \text{End}(A)$ ,  $\psi : Q^2 \rightarrow \text{Aut}(A)$ ,  $\theta : Q^2 \rightarrow A$  satisfying the *cocycle condition*.

The last item only assuming that  $\alpha$  *has connected blocks*.

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## Corollary

- $Q$  solvable (of rank  $n$ )  $\Rightarrow$   $\text{Dis}(Q)$  solvable (of rank  $\leq 2n - 1$ )
- $\text{Dis}(Q)$  solvable,  $Q$  has Mal'tsev operation  $\Rightarrow Q$  solvable

# Central congruences and nilpotent quandles

## Theorem

TFAE for a congruence  $\alpha$  of a quandle  $Q$ :

- 1  $\alpha$  is *central*
- 2  $\text{Dis}_\alpha(Q)$  is *central* and  $\text{Dis}(Q)$  *acts  $\alpha$ -semiregularly*
- 3  $Q$  is a *central extension* of  $F = Q/A$ , i.e.,  $(F \times A, *)$  with  $(x, a) * (y, b) = (xy, (1 - f)(a) + f(b) + \theta_{x,y})$  where  $A$  is an abelian group,  $\theta : Q^2 \rightarrow A$  satisfying the *cocycle condition*.

The last item only assuming that  $Q$  has *Mal'tsev operation*.

## Corollary

- $Q$  nilpotent (of rank  $n$ )  $\Rightarrow \text{Dis}(Q)$  nilpotent (of rank  $\leq 2n - 1$ )
- $\text{Dis}(Q)$  nilpotent,  $Q$  has *Mal'tsev operation*  $\Rightarrow Q$  nilpotent



# Extensions by constant cocycles (aka coverings)

## Theorem

TFAE for a congruence  $\alpha$  of a quandle  $Q$ :

- 1  $\alpha$  is *strongly abelian*
- 2  $\text{Dis}_\alpha(Q) = 1$
- 3  $Q$  is an *extension by constant cocycle* of  $F = Q/\alpha$ , i.e.,  $(F \times A, *)$  with

$$(x, a) * (y, b) = (xy, \rho_{x,y}(b))$$

where  $A$  is a set,  $\rho : Q^2 \rightarrow \text{Sym}(A)$  satisfying the *cocycle condition*.

... coverings are a special case of our abelian extensions ( $\varphi_{x,y} = 0$ )

... coverings have a natural universal algebraic meaning (*strongly abelian congruences*)

# An application to quandles

Classification of **connected quandles of order  $p^3$**  [Bianco, Bonatto]

Classification of **latin quandles of order  $pq$**  [Bonatto]

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Classification of **latin quandles of order  $pq$**  [Bonatto]

### Theorem (Stein 2001)

*If  $Q$  is a finite latin quandle, then  $\text{LMlt}(Q)$  is solvable.*

Since latin quandles have Mal'tsev operation, we obtain

### Corollary

*Finite latin quandles are solvable.*

# An application to knot theory

*Coloring by affine quandles  $\leftrightarrow$  Alexander invariant*

## Theorem (Bae, 2011)

*Let  $K$  be a link and  $f$  its Alexander polynomial.*

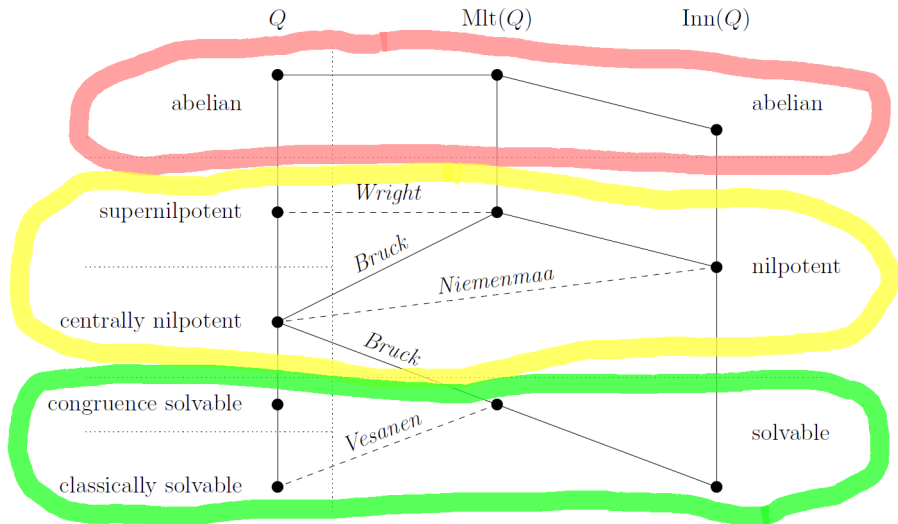
- $f = 0 \Rightarrow$  colorable by every affine quandle
- $f = 1 \Rightarrow$  not colorable by any affine quandle
- else, colorable by  $\text{Aff}(\mathbb{Z}[t, t^{-1}]/(f), f)$ .

## Corollary

- $f = 1 \Rightarrow$  not colorable by any **solvable** quandle (in particular, **latin**)

# Solvability and nilpotence for loops

[S., Vojěchovský]



## Solvability and nilpotence for ???

What about other interesting classes of algebras,  
in particular other types of solutions to the Yang-Baxter equation?